

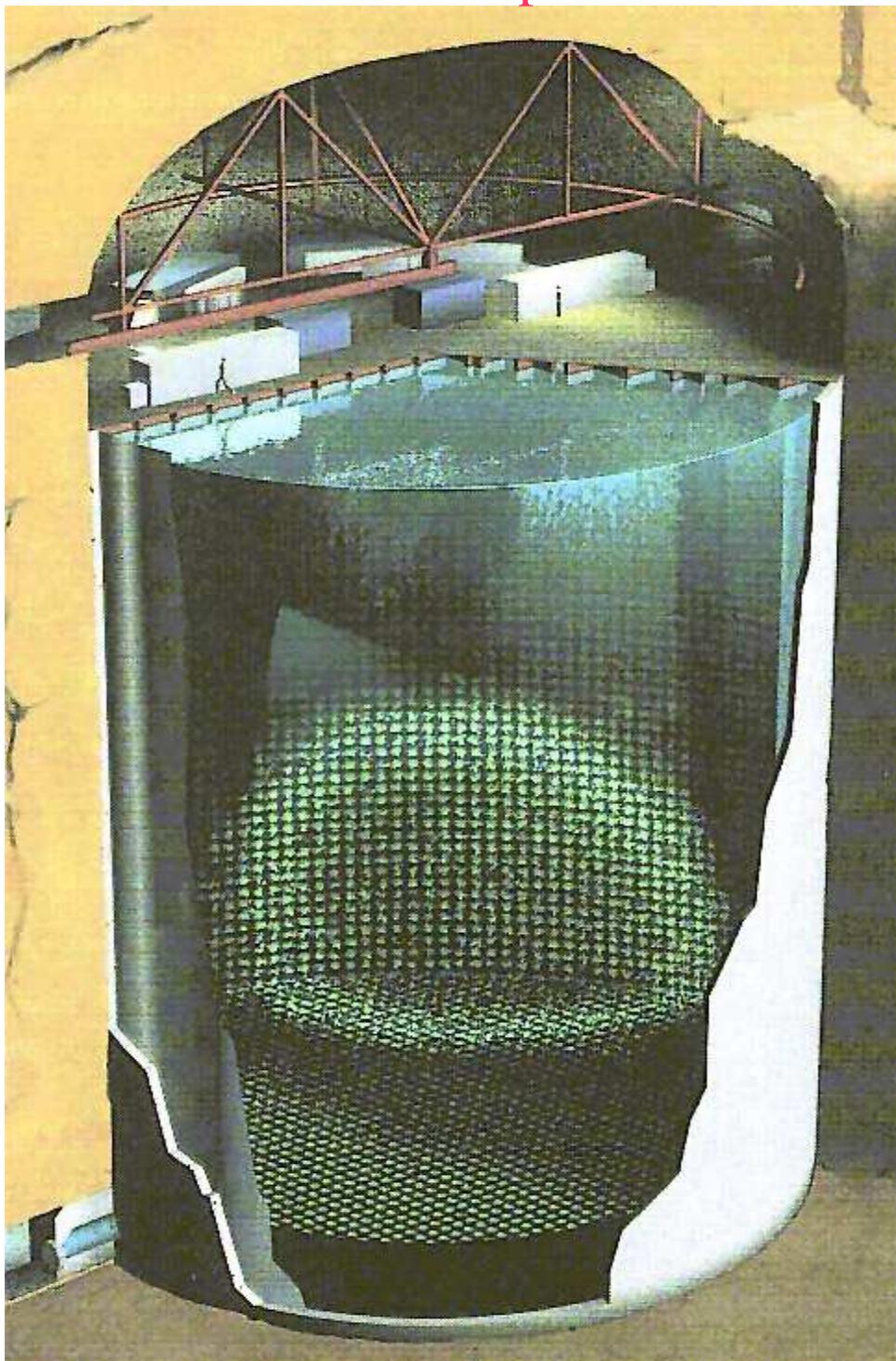
**PHYSICS AND ASTRONOMY  
WITH  
SHOWERING UPWARD MUONS  
IN SUPER-KAMIOKANDE**

**SHANTANU DESAI  
Ph.D Final Oral Examination  
December 3<sup>rd</sup> 2003**

# Outline of the Talk:

- Super-Kamiokande detector
    - PHYSICS
  - Dataset used and its classification (upward thru + stopping muons)
  - Subdivision of upward thrugoing muons (showering + non-showering)
  - Overview of algorithm used to identify upward showering muons
  - Energy Spectrum of all 3 categories of events
  - Oscillation Analysis using upward showering muons
- 
- ASTRONOMY
  - Overview of astrophysical searches with upward muons
  - Gamma-ray burst (GRB) and Soft-gamma-ray repeater (SGR) searches
  - Diffuse flux searches
  - WIMP searches

## Super-Kamiokande Detector



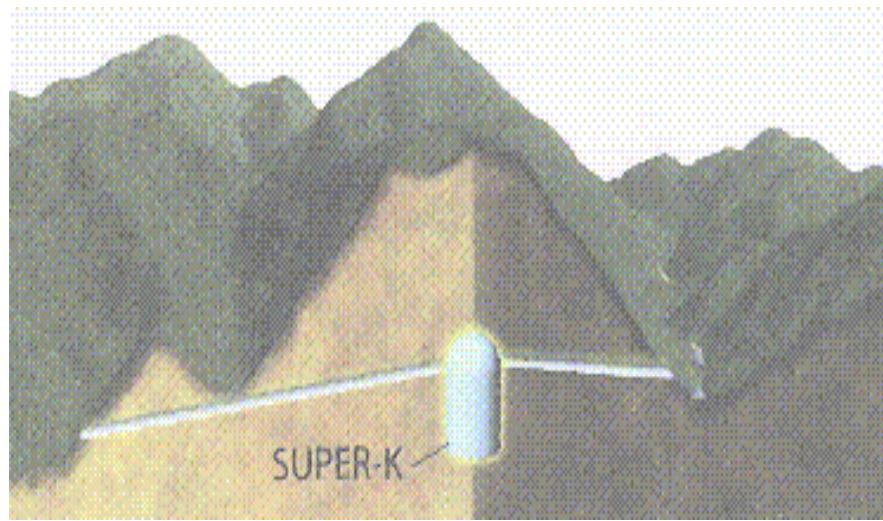
~ 40m X 40 m tank containing  
50 kton of ultra pure water

Water Cherenkov detector

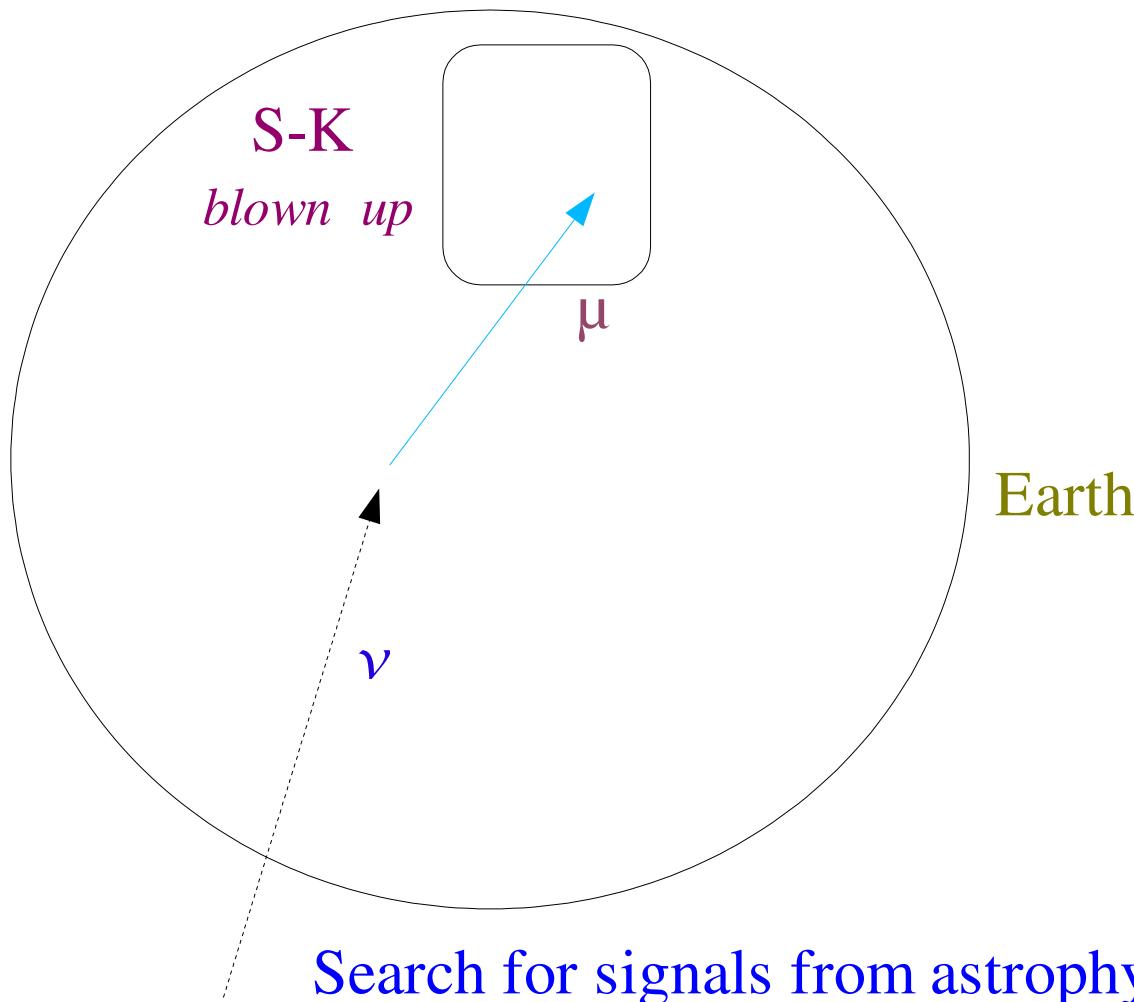
11146 20-inch PMTs in ID

1885 8-inch PMTs in OD

Located 1 km under mountain  
in a Zn mine in Japan  
Phase 1 : 1996 - 2001

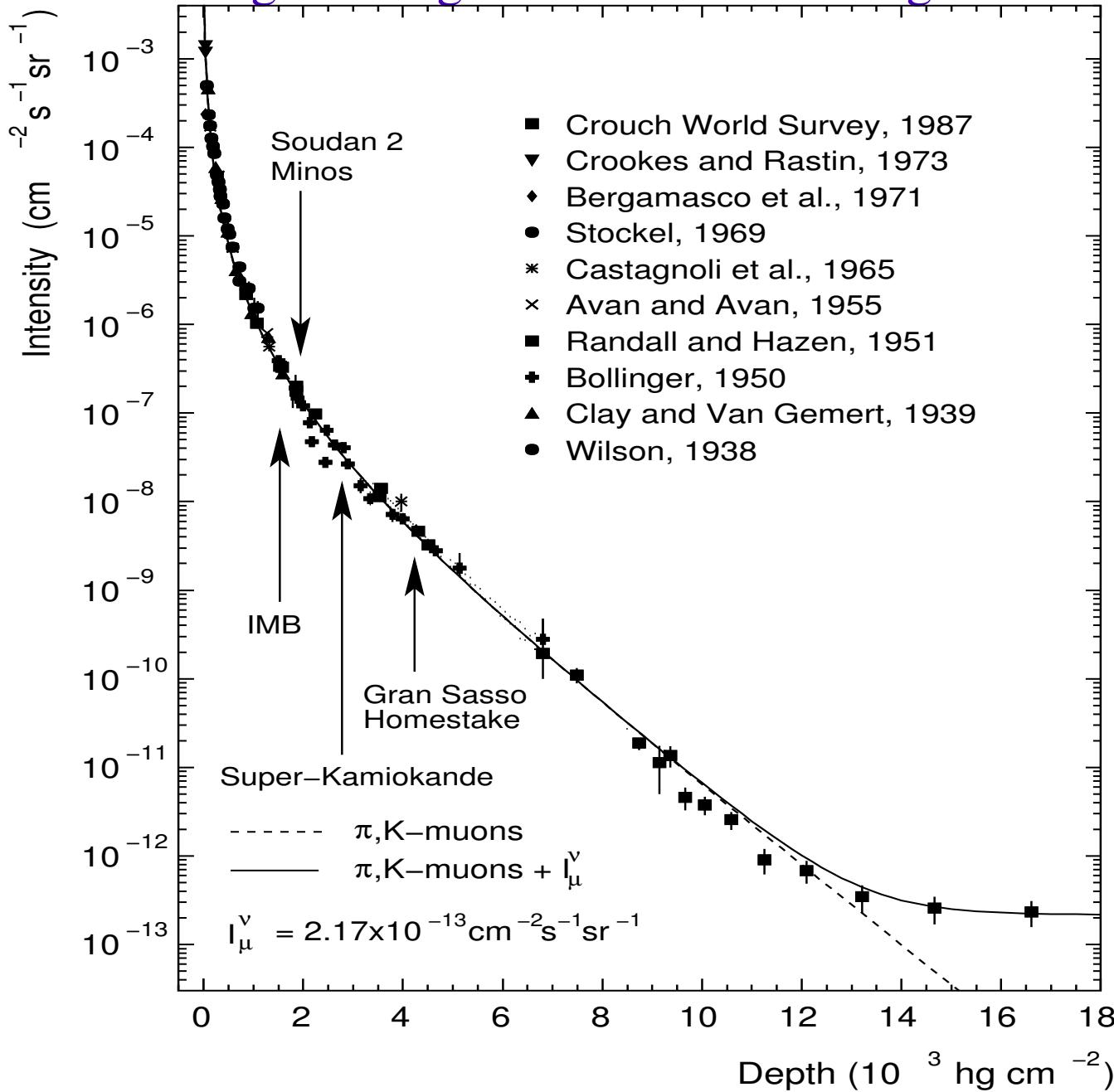


# Subject of this Thesis = Upward Going Muon Events



Search for signals from astrophysical sources in upward going muons  
Background from cosmic ray interactions in atmosphere on opposite hemisphere of earth

Need to go underground to reduce background from cosmic ray muons

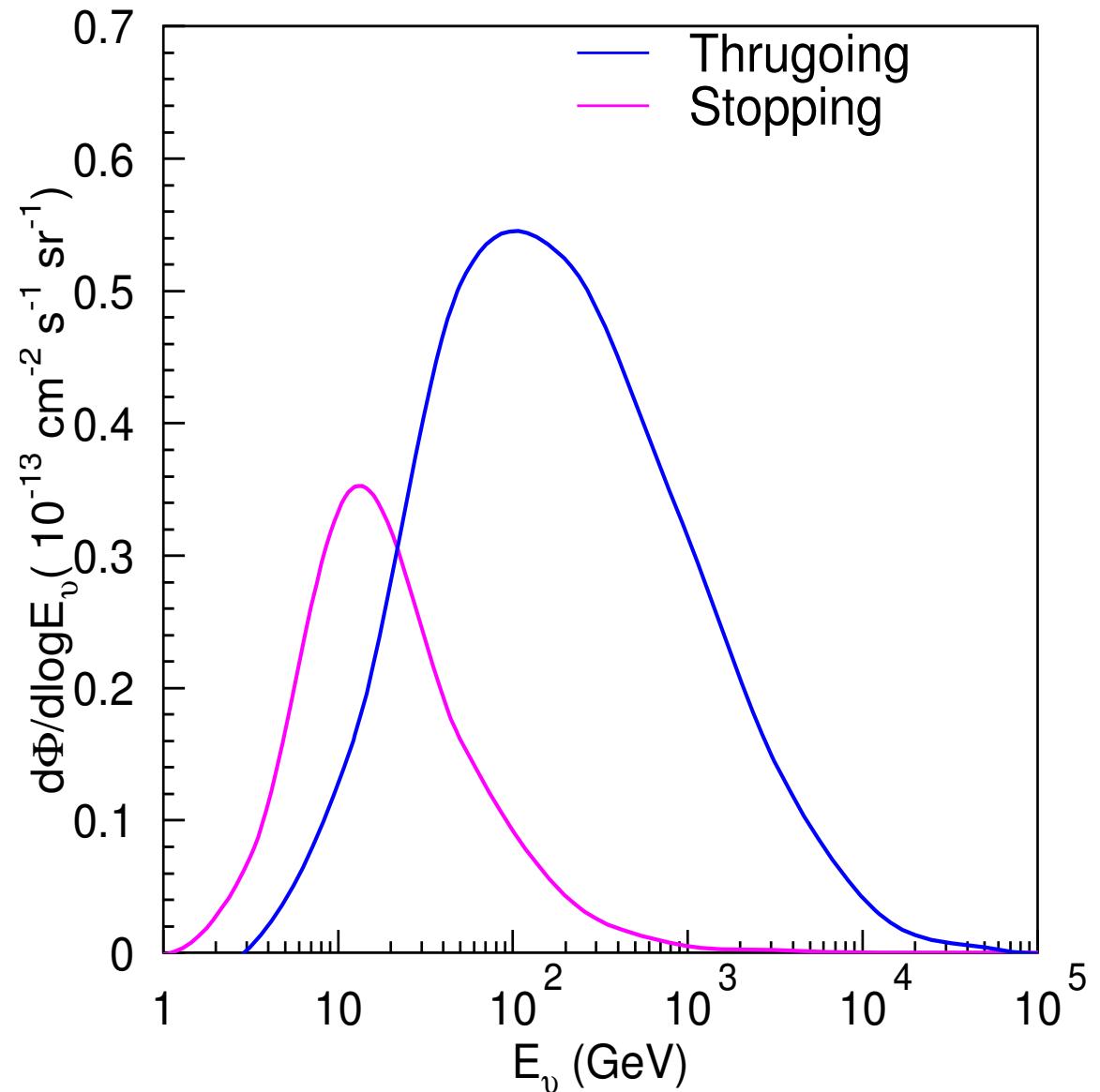
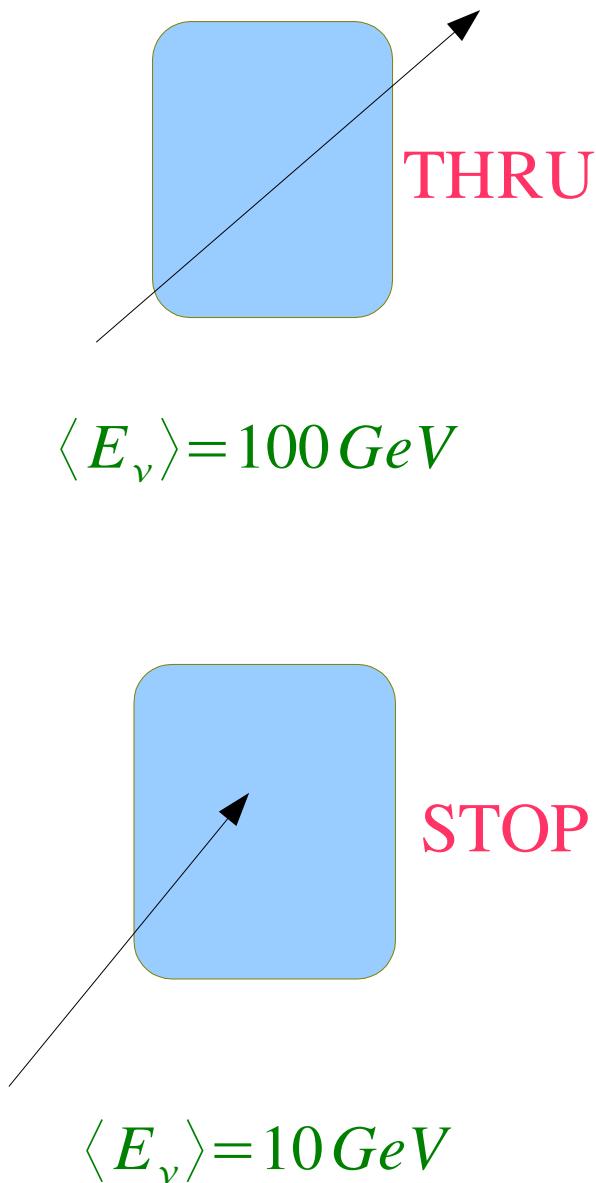


At Super-K depth

$$\frac{\mu \uparrow \text{ Flux}}{\mu \downarrow \text{ Flux}} \sim 10^{-5}$$

Bugaev et al 98

# Upward Going Muons In Super-K

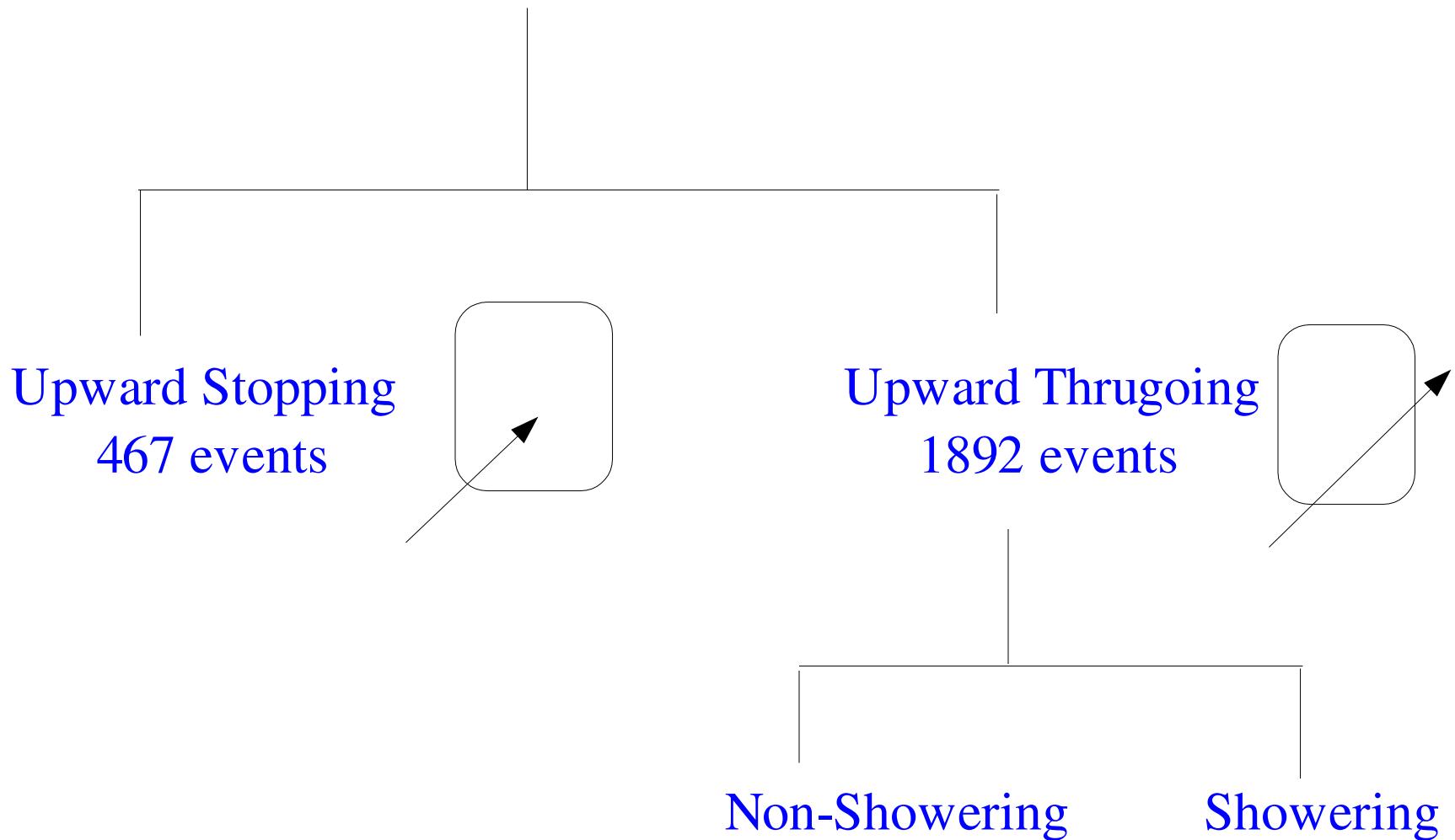


# Event display of an Upstop Muon

# Event Display of Upthru Muon

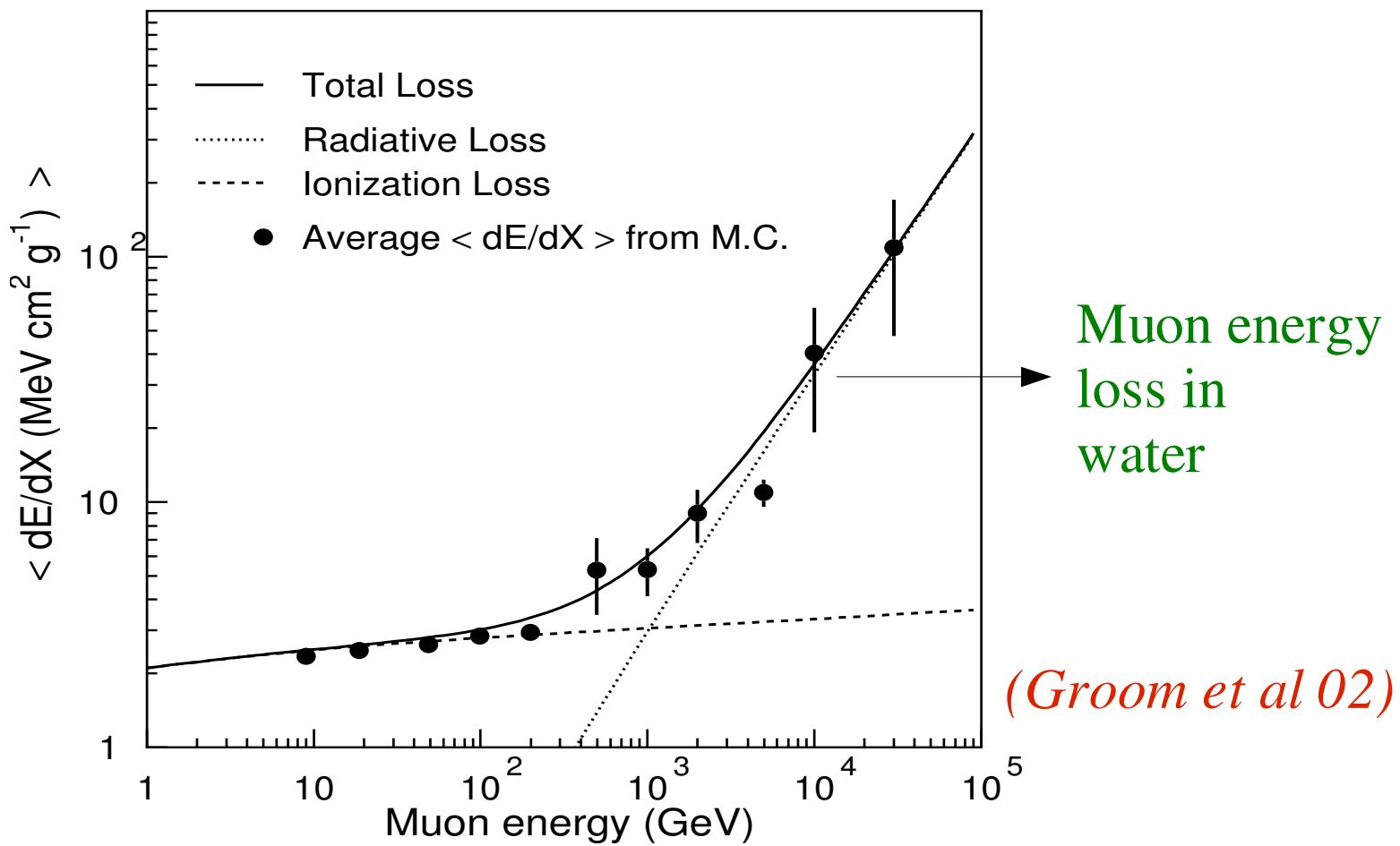
Upcoming Event Display of Upthru Muon

Upward Muons originally divided into two categories



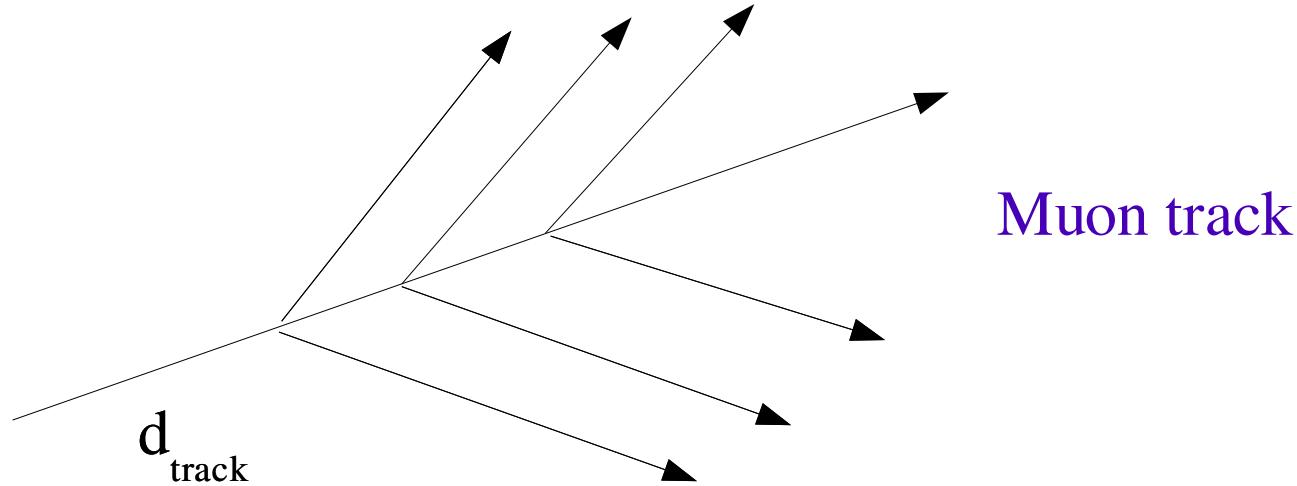
Non-Showering muons

Showering muons



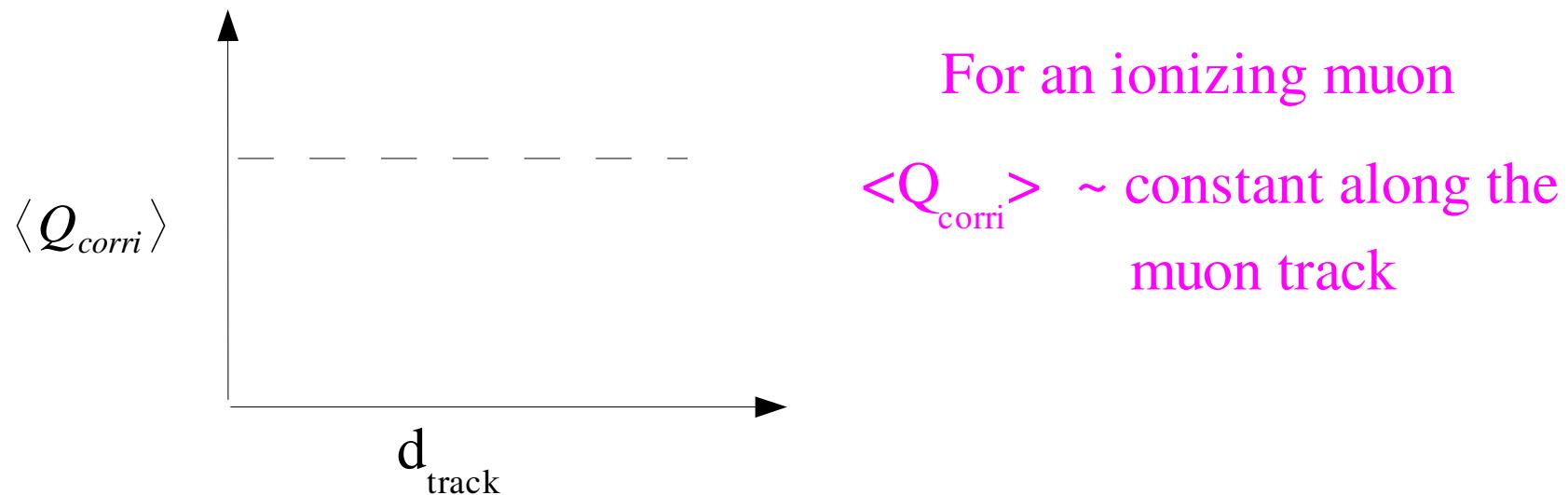
Muon critical energy in water  $\sim 1$  TeV

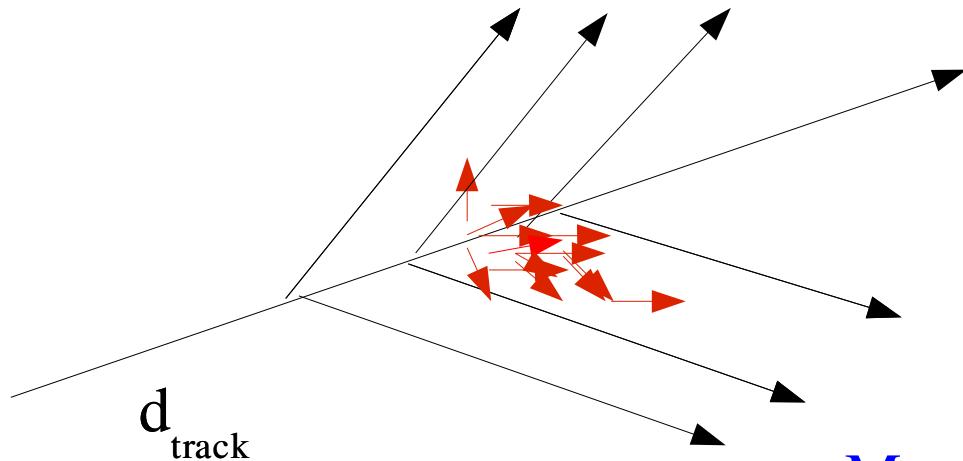
Monte-Carlo  $dE/dX$  agrees with theoretical curves



For each PMT in cone find point of projection along muon trajectory

Calculate avg corrected charge along each 0.5 m along muon track

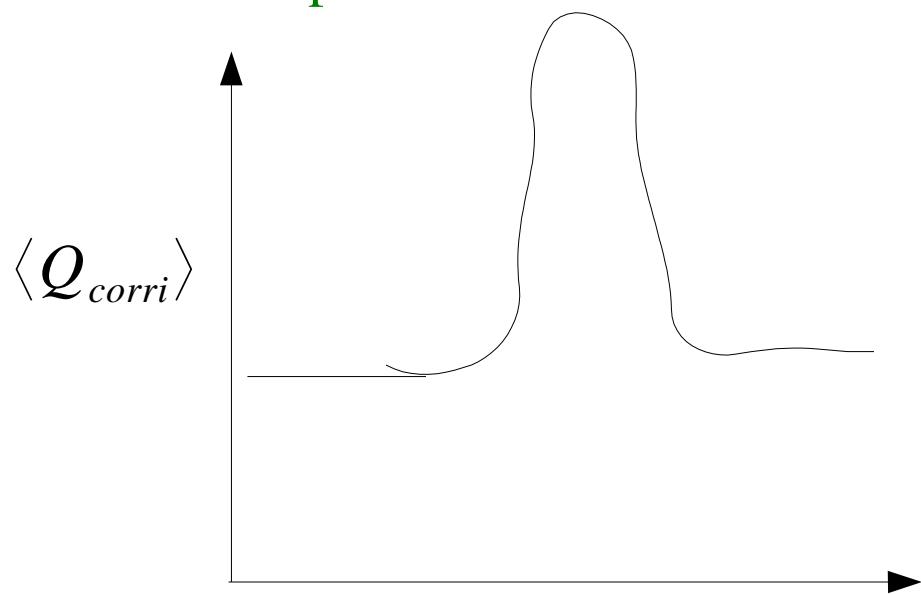




Extra Cerenkov light  
from  $e^+ e^-$  pairs

Muon track

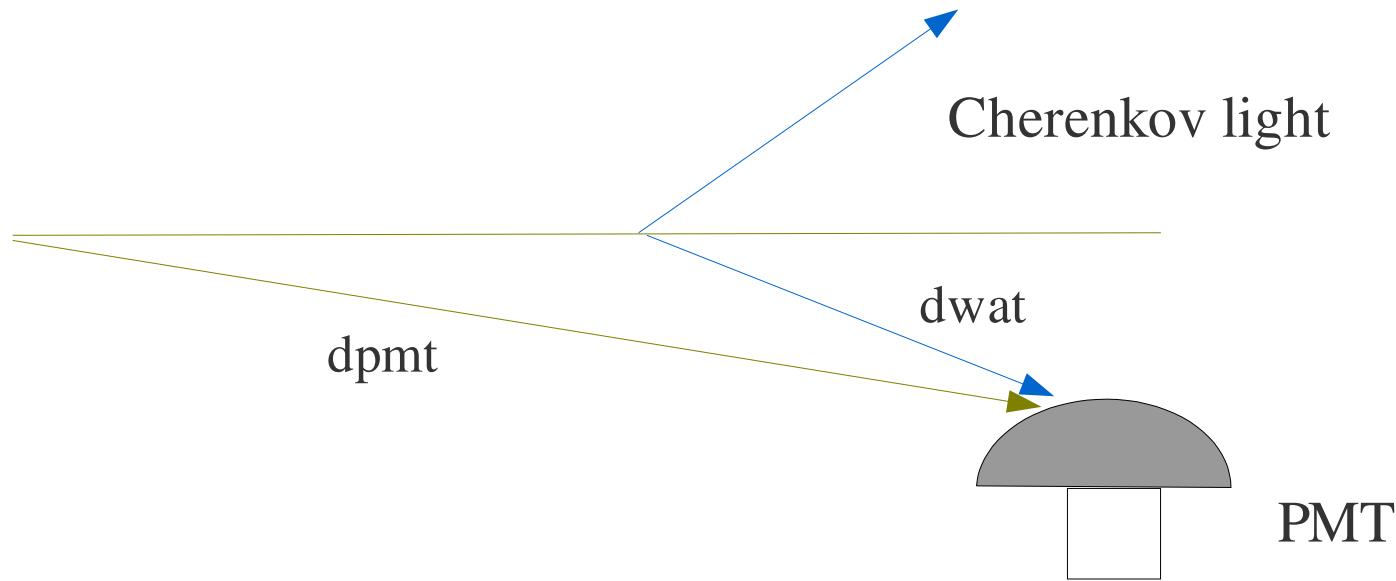
Muon which loses energy  
through radiative processes  
initiates an electromagnetic  
shower



A showering muon should  
show huge upward spikes  
along muon track

Strategy : Apply corrections to PMT charge to account for:

- PMT acceptance
- Light scattering
- Attenuation due to photon travel distance

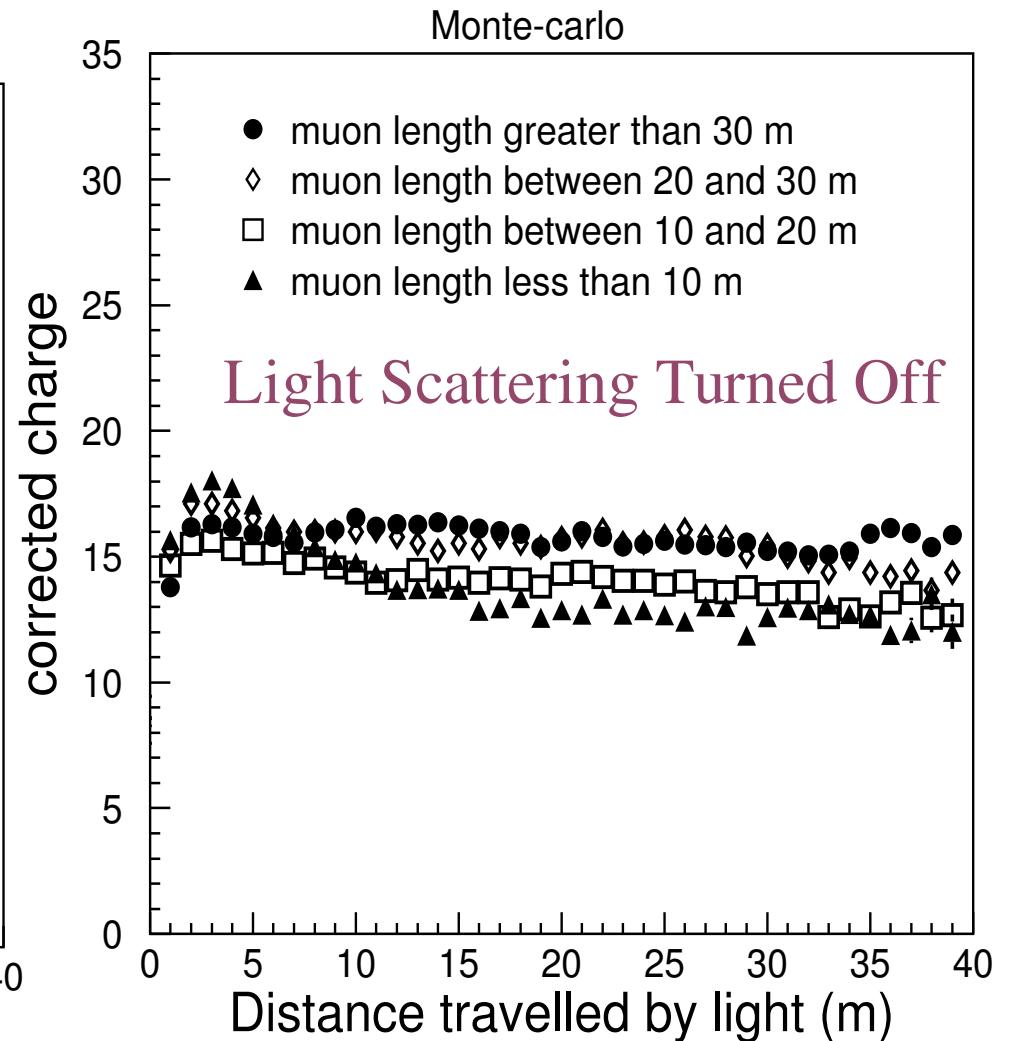
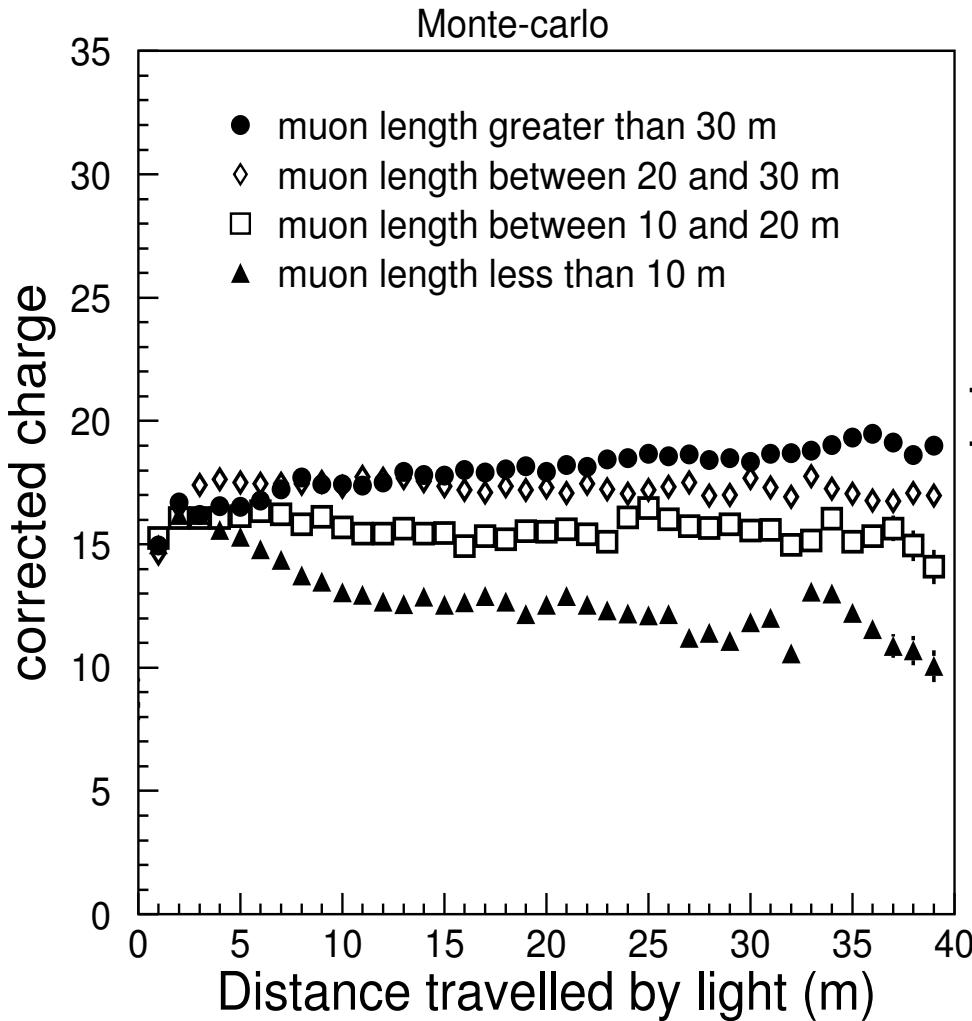


$$Q_{\text{corr}} = \frac{Q_{\text{raw}} d_{\text{wat}} \exp(d_{\text{wat}} / L_{\text{atten}})}{F(\theta)}$$

$L_{\text{atten}}$  = Light attenuation length

The above corrections not enough

Light scattering into the cone increases the effective charge as muon path length increases

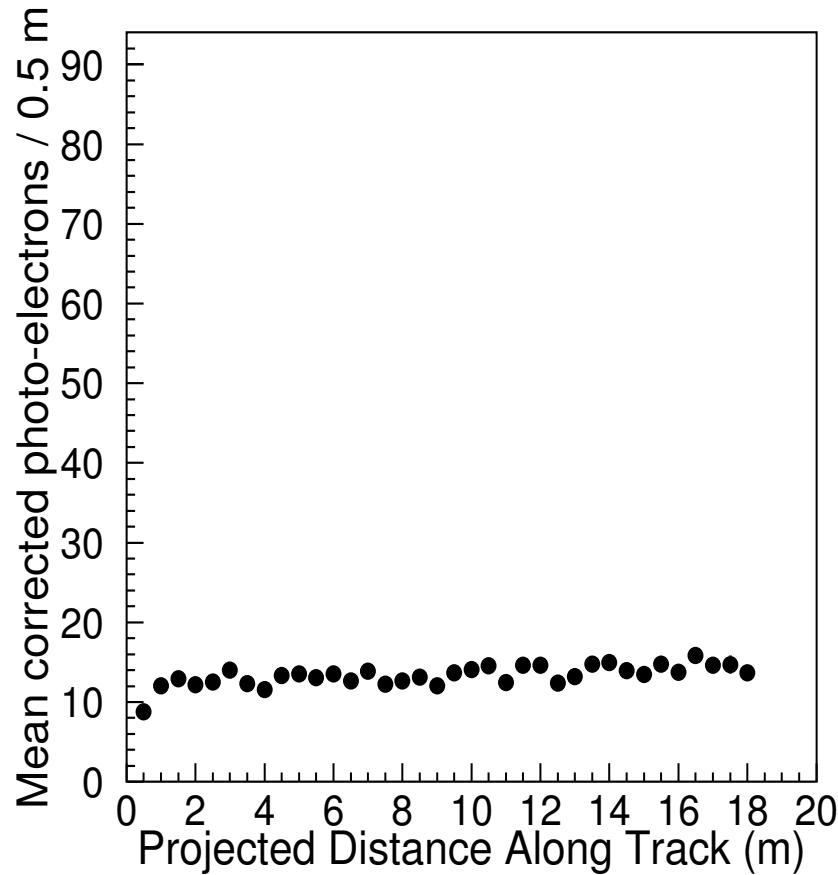


Fit the mean charge of an ionizing muon to 2<sup>nd</sup> order polynomial

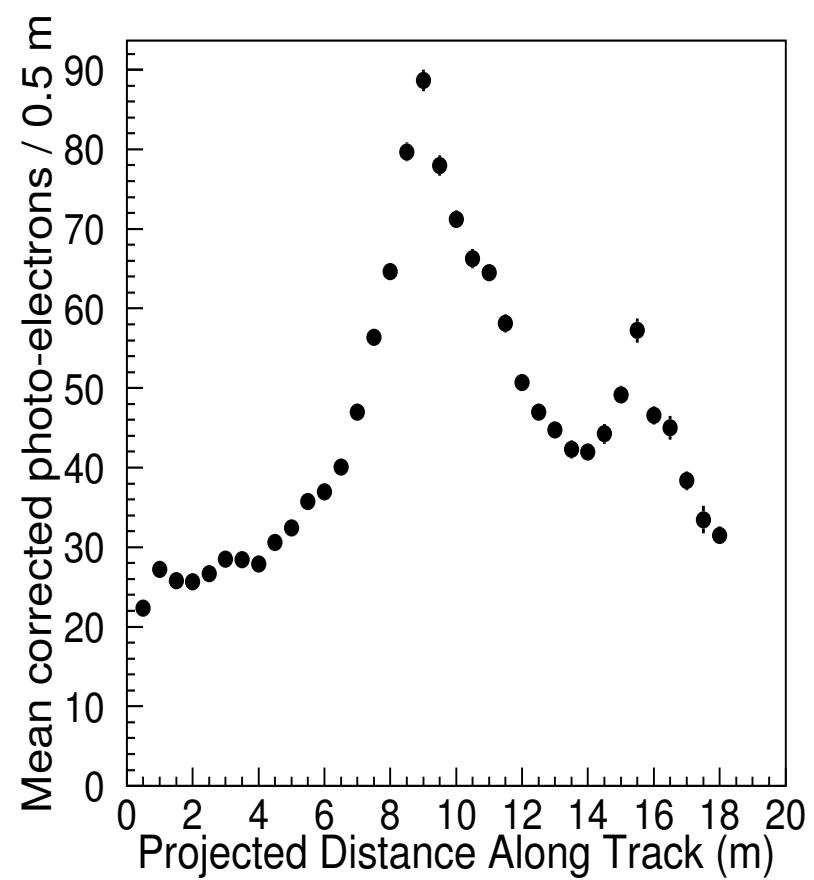
Generated Monte-carlo samples with different  
energies using track directions and entry points from downward muon data

Both muons have same entry point and directions

Muon energy = 20 GeV



Muon energy = 10 TeV



$$\langle dE/dX \rangle = 2.2 \text{ MeV/cm}$$

$$\langle dE/dX \rangle = 8.5 \text{ MeV/cm}$$

Show Event Display of the 20 Gev muon event with and without corrections  
and same for 10 TeV muon

For each upward muon event defined a :  $\chi^2$

$$\chi^2 = \sum_{i=3}^{n-2} \{ [\langle Q_{corr} \rangle - \langle \bar{Q}_{corr} \rangle]^2 / \sigma_{Q_{corr}}^2 + [\langle \bar{Q}_{corr} \rangle - q(l)]^2 / \sigma_{q(l)}^2 \}$$



Shape Comparison

Normalization Comparison

where

$$\langle \bar{Q}_{corr} \rangle = \frac{\sum_{i=3}^{n-2} \langle Q_{corr} \rangle / \sigma_{Q_{corr}}^2}{\sum_{i=3}^{n-2} 1 / \sigma_{Q_{corr}}^2}$$

and

$$q(l) = \langle \bar{Q}_{corr} \rangle \quad \text{for a ionizing muon as a function of path-length}$$

Event considered showering iff :

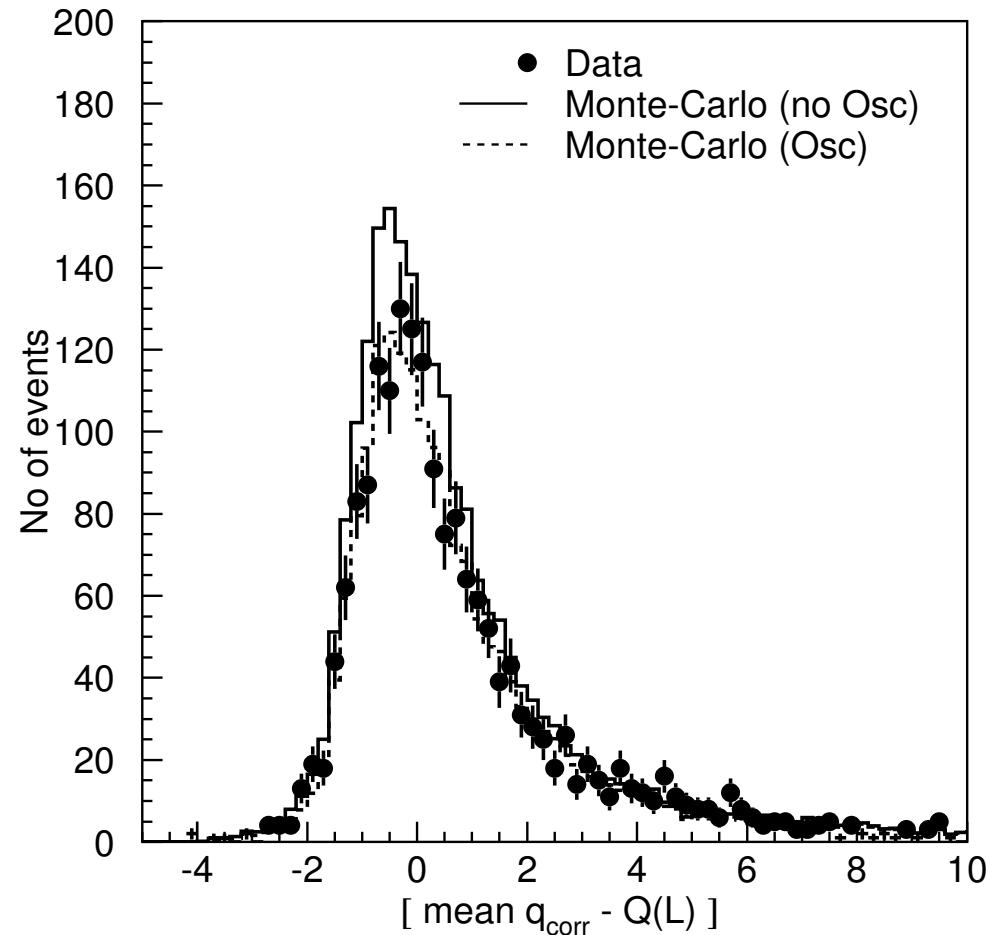
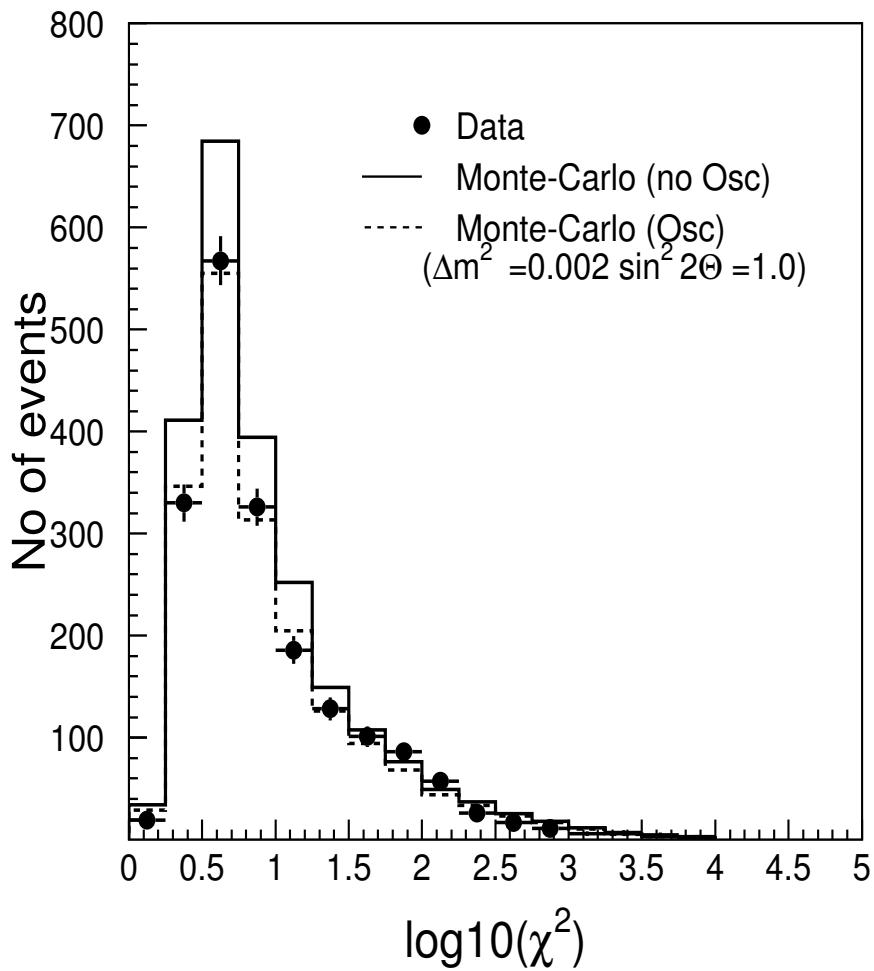
$$\chi^2 / D.O.F. > 25 \quad \text{and} \quad [\langle \bar{Q}_{corr} \rangle - q(l)] > 2.0 \text{ } pe$$

or

$$[\langle \bar{Q}_{corr} \rangle - q(l)] > 4.0 \text{ } pe$$

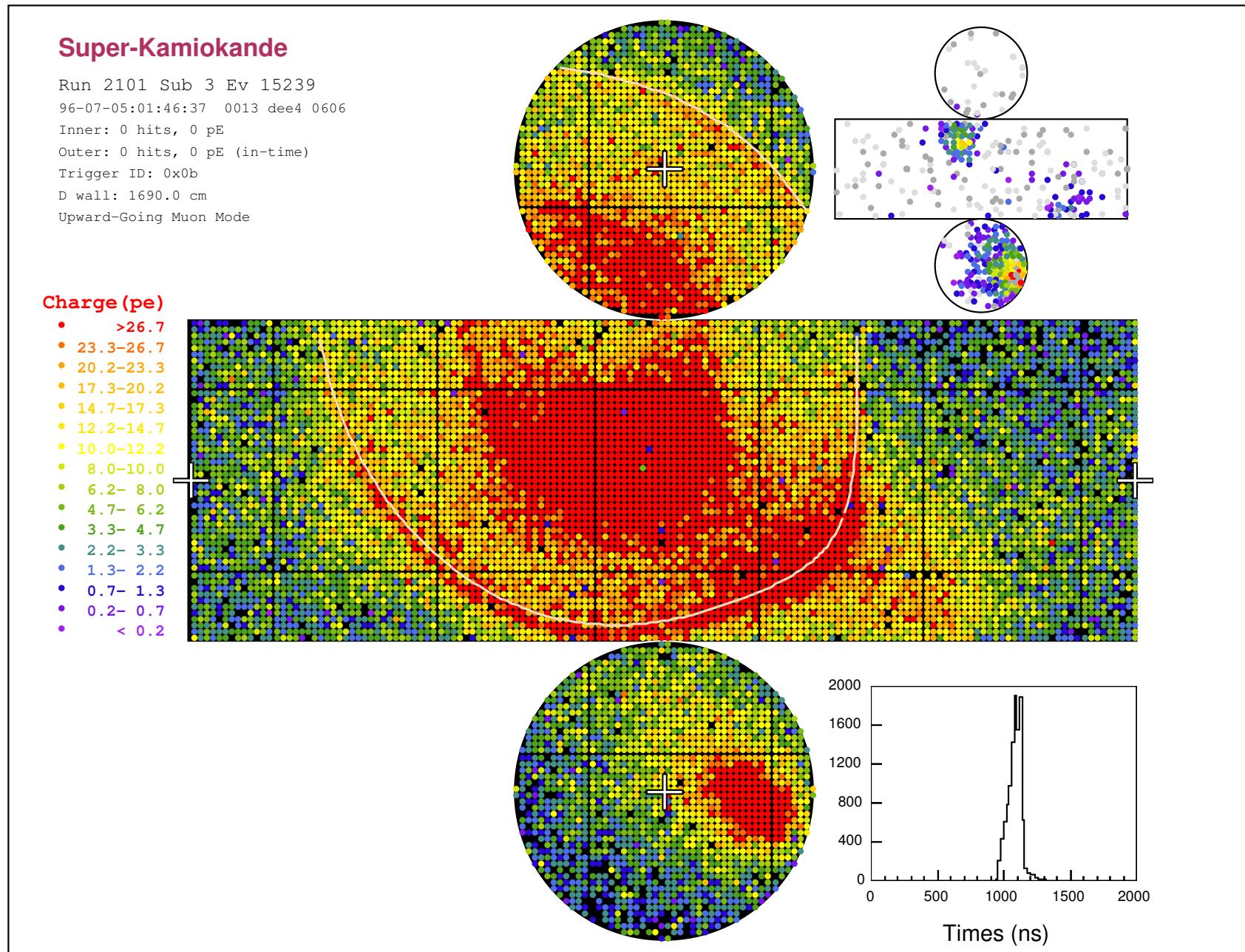
# Consistency Check:

## Does the distribution of showering variables agree for data and atmospheric neutrino monte-carlo?



Yes

# EVENT DISPLAY OF AN UPWARD SHOWERING MUON



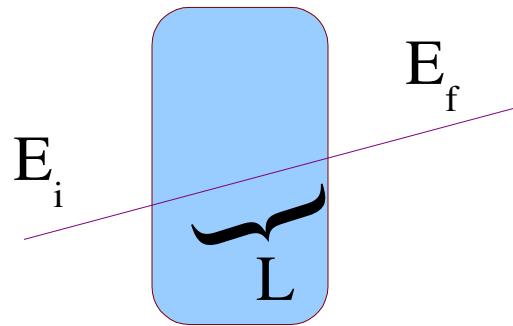
## Showering Detection Efficiency

$$\text{Efficiency} = \frac{\# \text{ of events identified by algorithm as showering}}{\# \text{ of events with } \Delta E / \Delta X > 2.85 \text{ MeV/cm}}$$

where

$$\Delta E / \Delta X = (E_i - E_f) / L$$

where  $E_i$ ,  $E_f$   
are true muon energies from  
monte-carlo



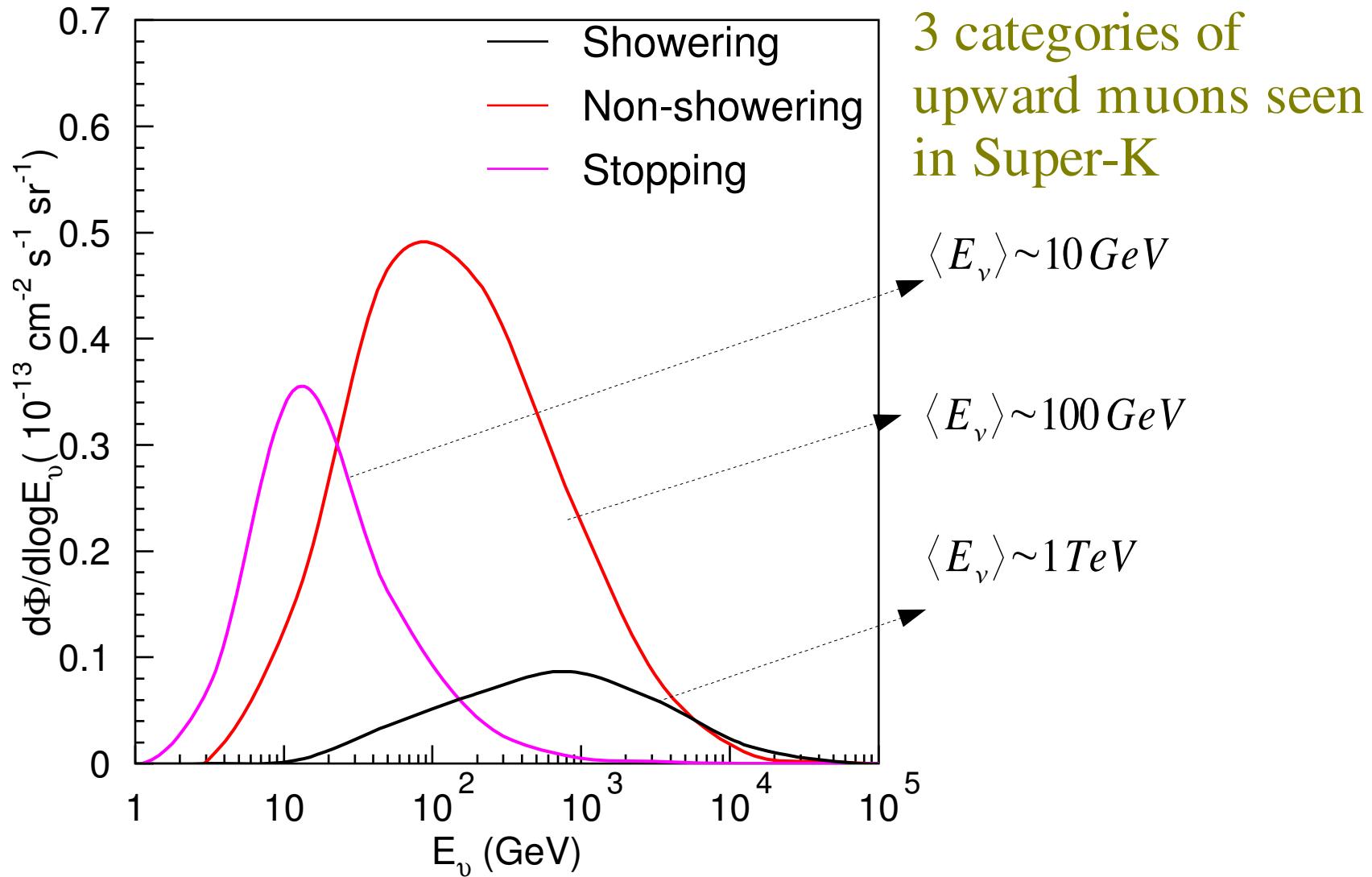
Efficiency ~ 75 %

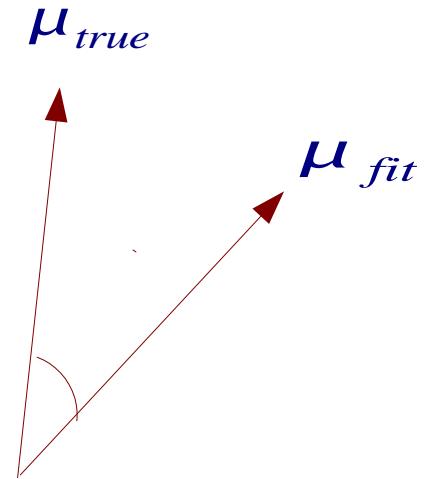
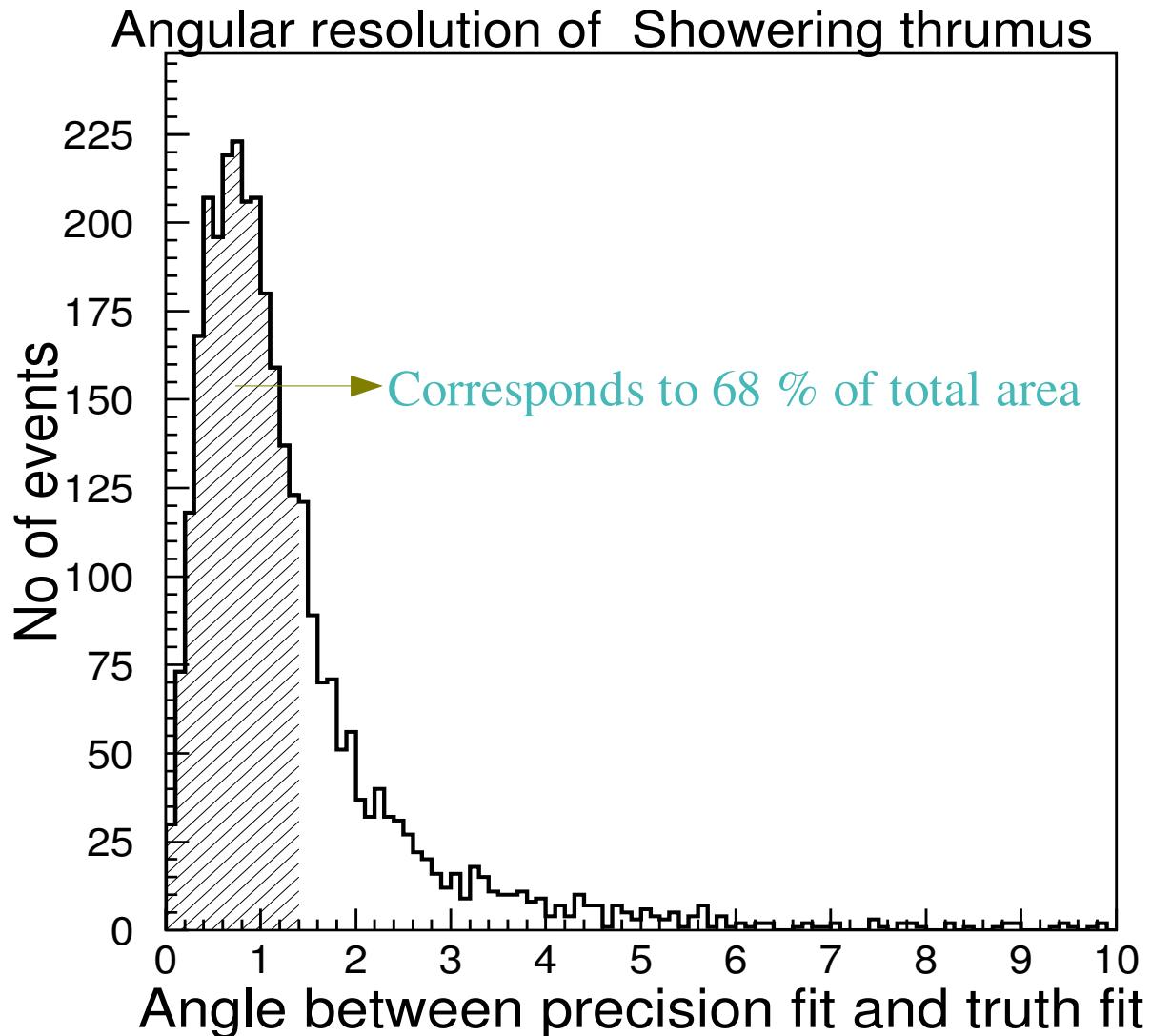
Amount of background from non-showers ~ 5%

Total of 332 events in upthru dataset

Total of 3129 events in 40 year upthrumu monte-carlo

## Applied Showering Algorithm to 40 yr. Monte-Carlo

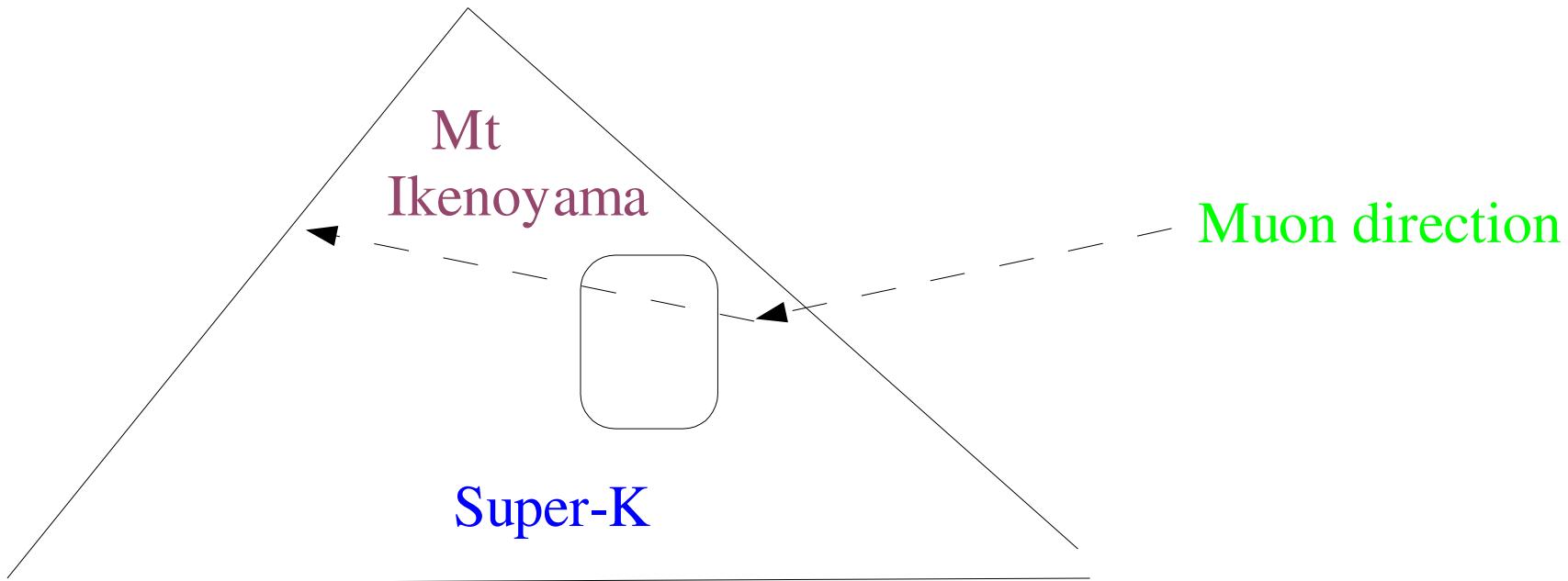




Angular resolution = 1.3 degrees

Stops : 1.5 degrees Thrugoeing: 1.0 degrees

Our sample not completely background free

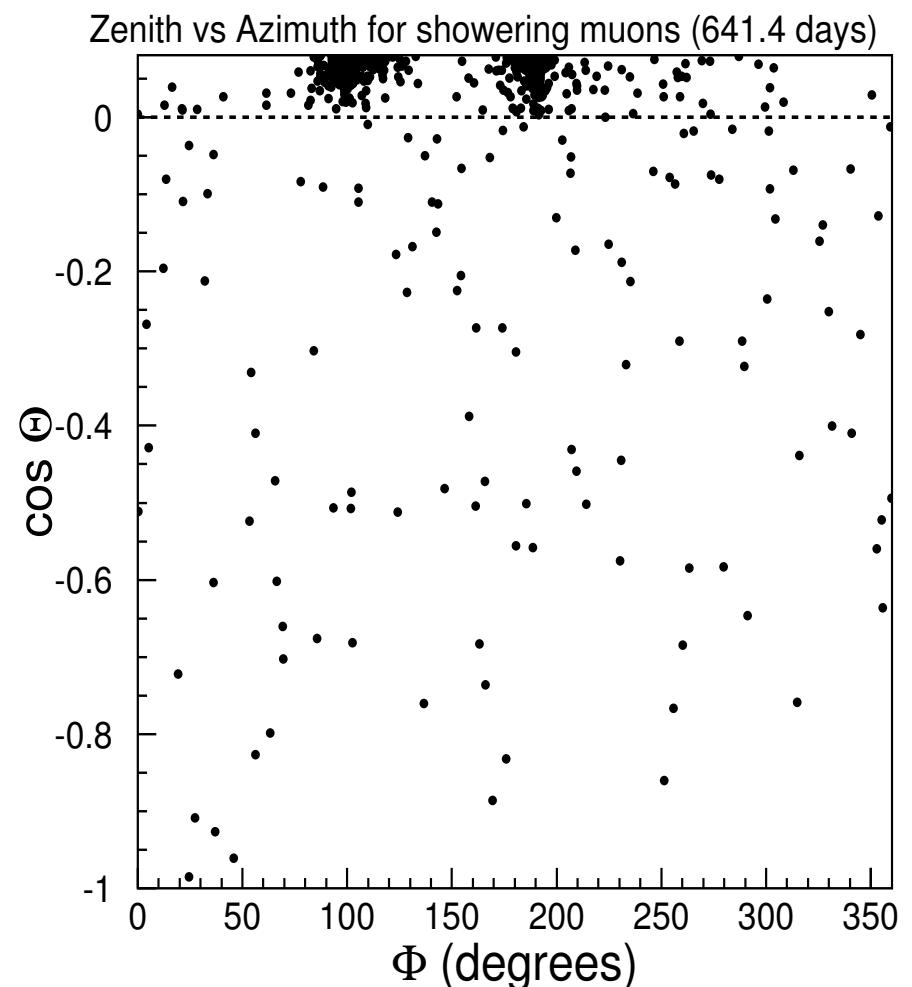
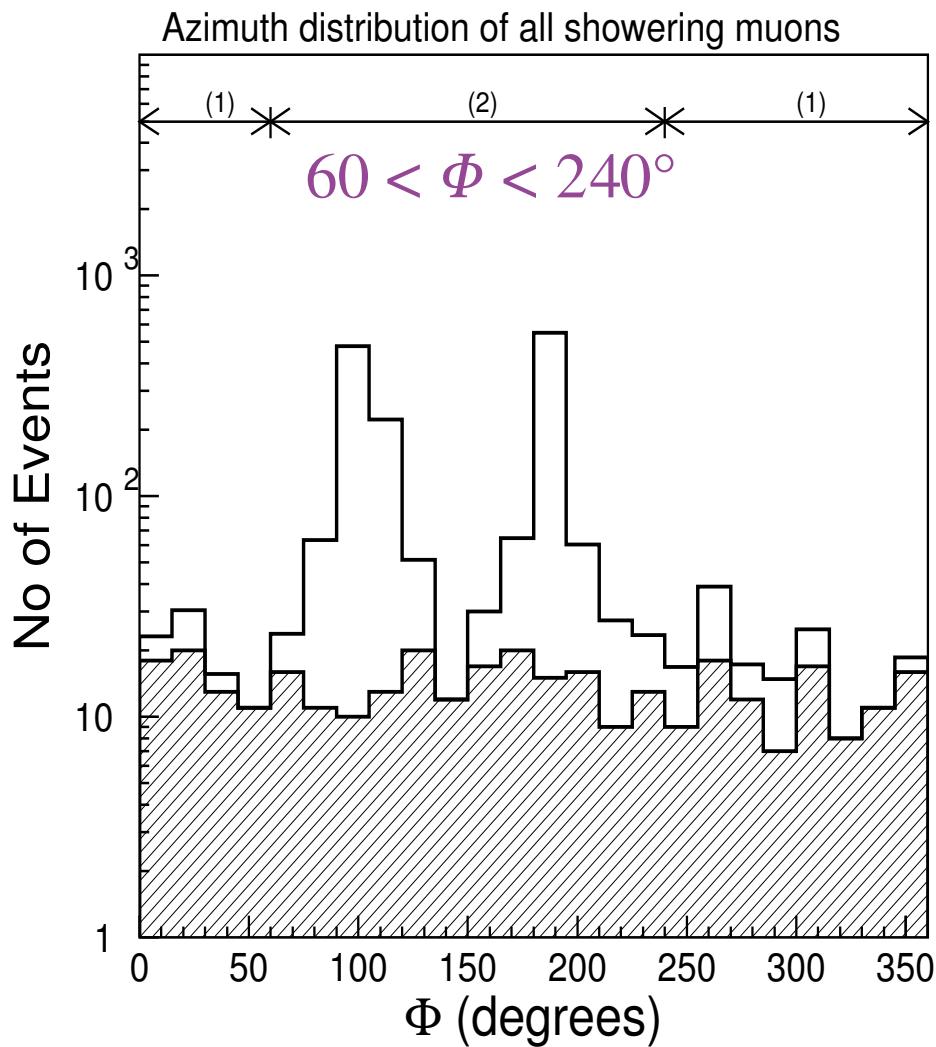


Downward  $\mu$  near  
horizon could multiple-scatter  
and appear as upward  $\mu$

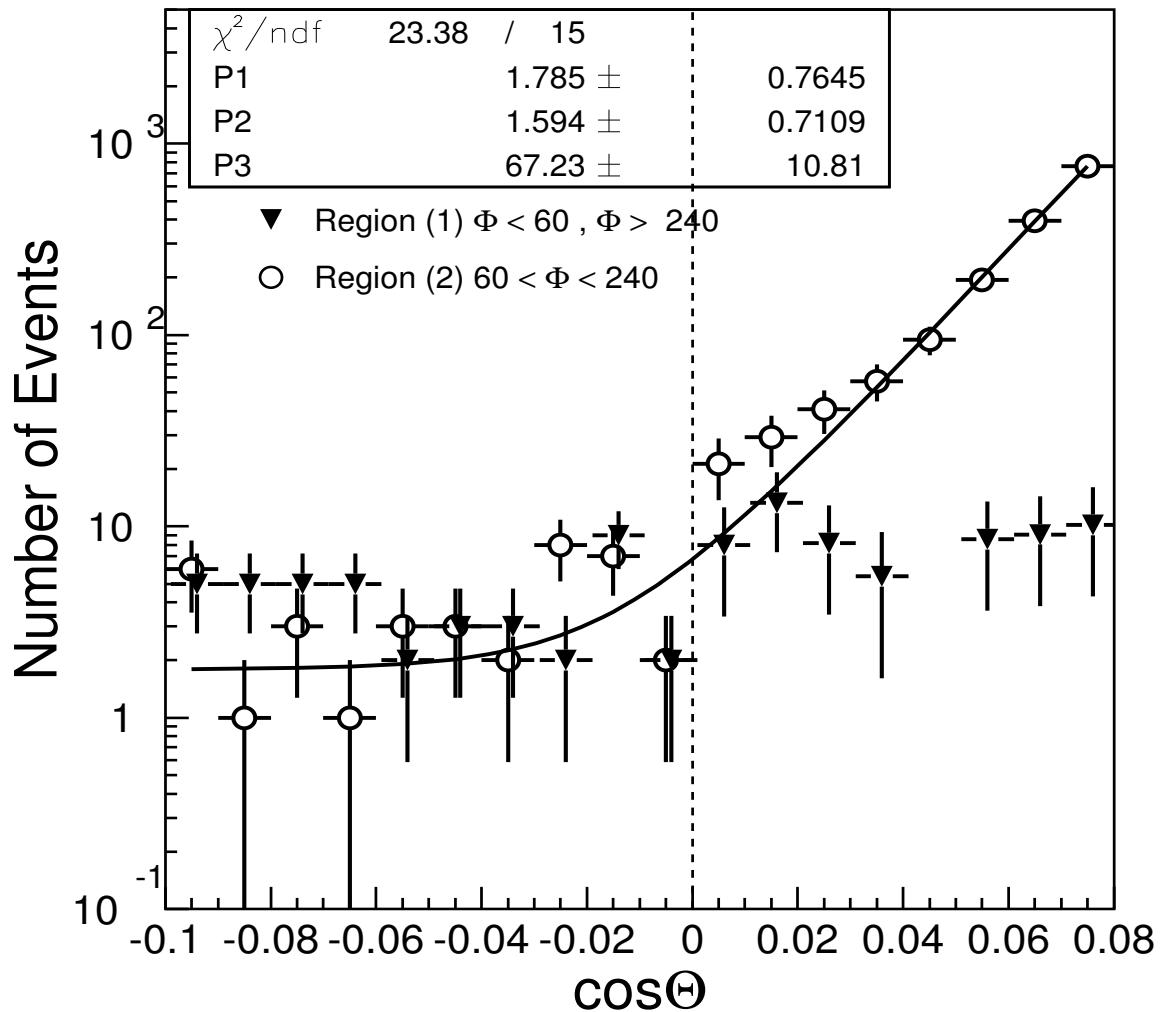
This background from horizontal muons  
needs to be subtracted from the upward showering  
muon dataset

Mt. Ikenoyama not homogenous and isotropic  
contamination from thin parts of mountain in dataset

Plotted the azimuthal distribution of upward +  
downward showering muons near horizon



## Estimation of Background Subtraction



$N_{\text{bkgd}} = 8 \pm 7$  for  $\cos \theta > -0.1$  out of 80 events

## Oscillation Probability for a 2-neutrino beam

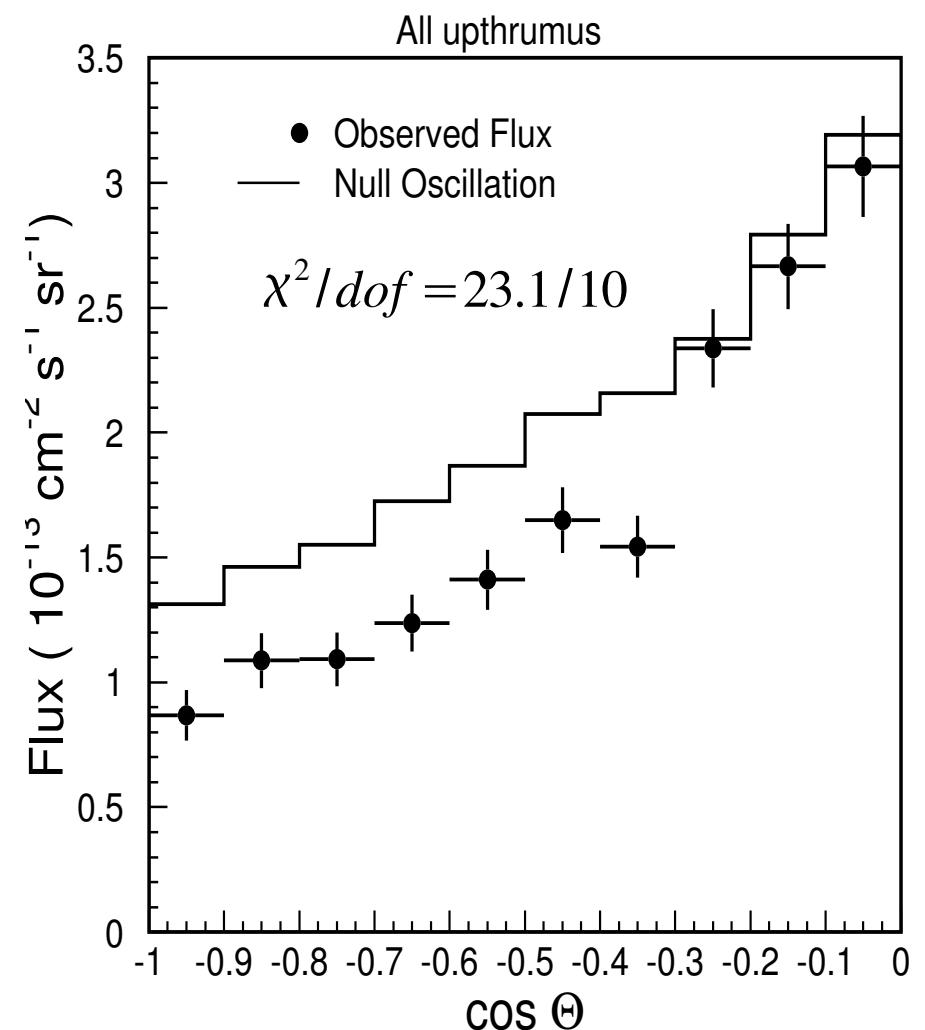
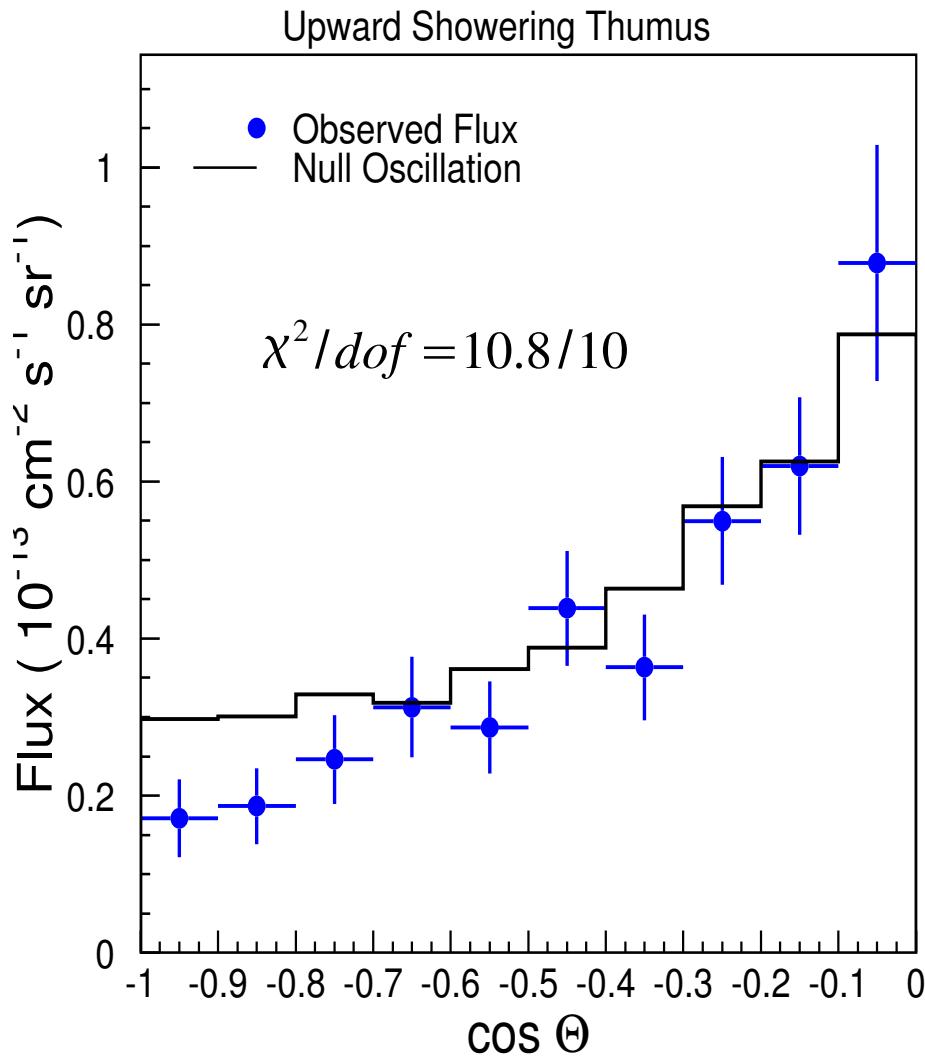
$$P(\nu_\mu \rightarrow \nu_\tau) = \sin^2(2\theta) \sin^2 [1.27 \Delta m^2 (eV^2) L(km)/E(GeV)]$$

$$\Delta m^2 = m_1^2 - m_2^2$$

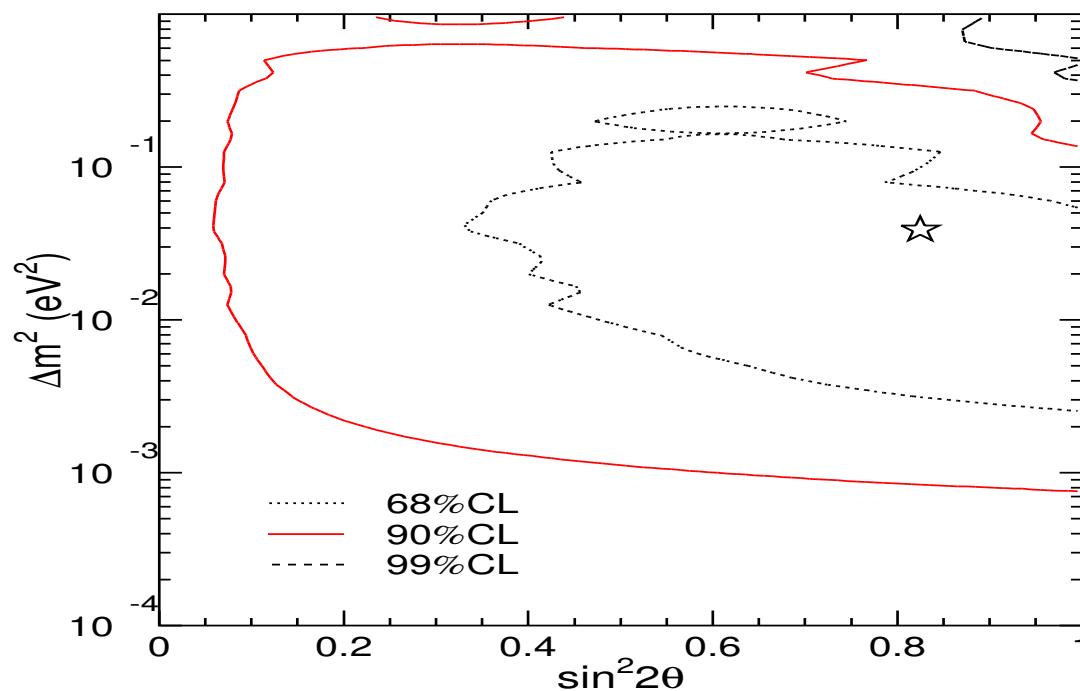
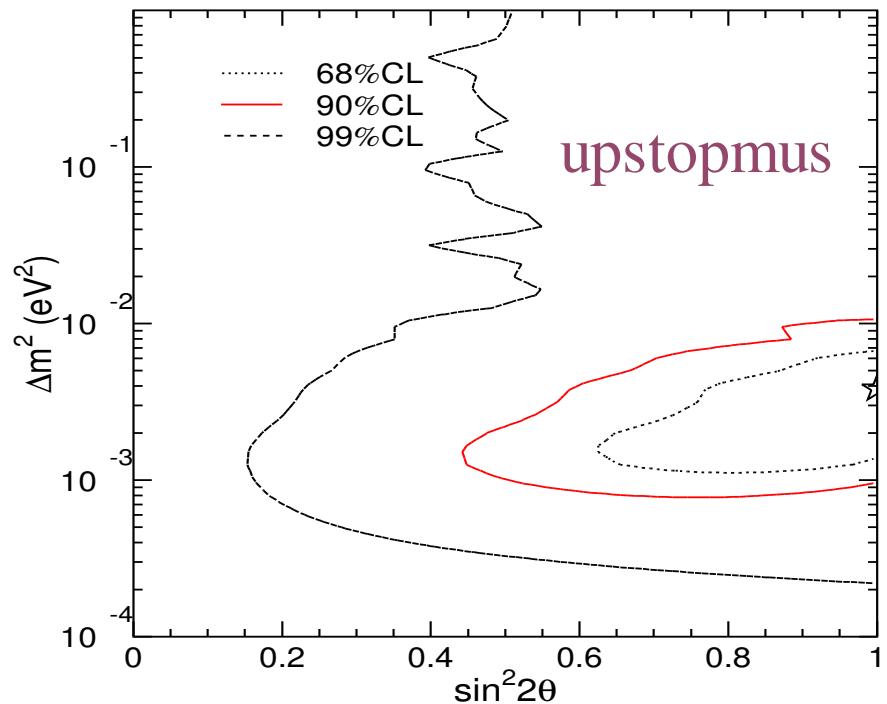
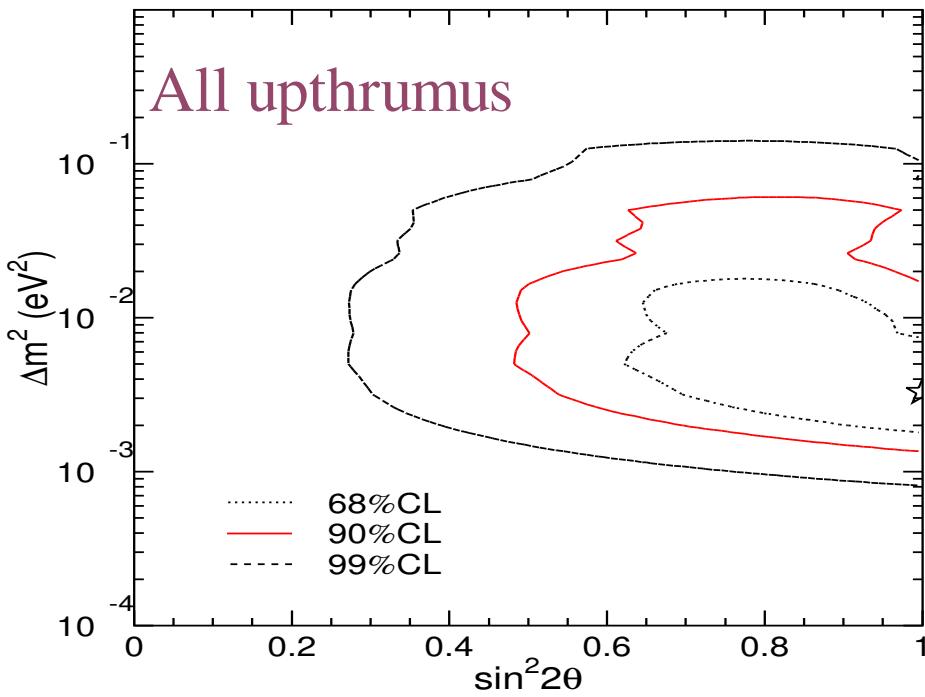
$$\sin^2(2\theta) = \text{Mixing angle}$$

First smoking gun evidence for neutrino oscillation discovered in Super-K with  $\Delta m^2 \sim 0.002$   $\sin^2(2\theta) \sim 1$  (*Messier 1999*)

# Zenith Angle Distribution of Upward Showering Muons



→ Zenith angle distribution of upward showering muons  
consistent with no oscillation as expected



## Oscillation Analysis with all 3 Datasets

$$\chi^2 = \sum_{j=1}^3 \sum_{i=1}^{10} \{(F_{data} - F_{MC})/\sigma\}^2$$

Weights applied to monte-carlo events :

Non -Showering Upthrumus :  $(1+\alpha) F^{\text{nonshower}}$

Showering Upthrumus :  $(1+\alpha)(1+\gamma) F^{\text{shower}}$

Stopping Upmus :  $(1+\alpha)(1+\beta) F^{\text{stop}}$

$\alpha, \beta, \gamma$  kept as free parameters

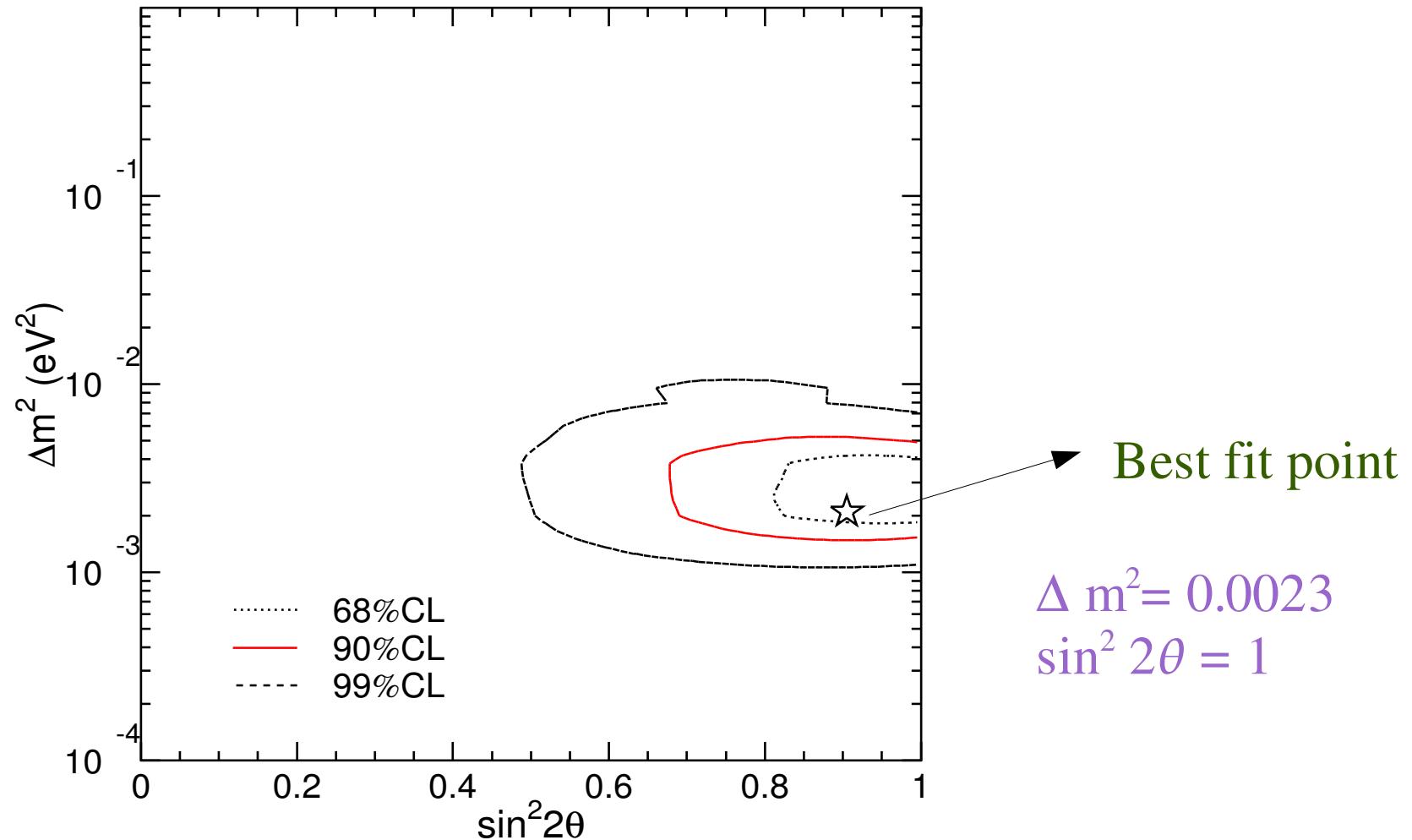
Following terms added to  $\chi^2$

$$(\alpha/\sigma_\alpha)^2 + (\beta/\sigma_\beta)^2 + (\gamma/\sigma_\gamma)^2$$

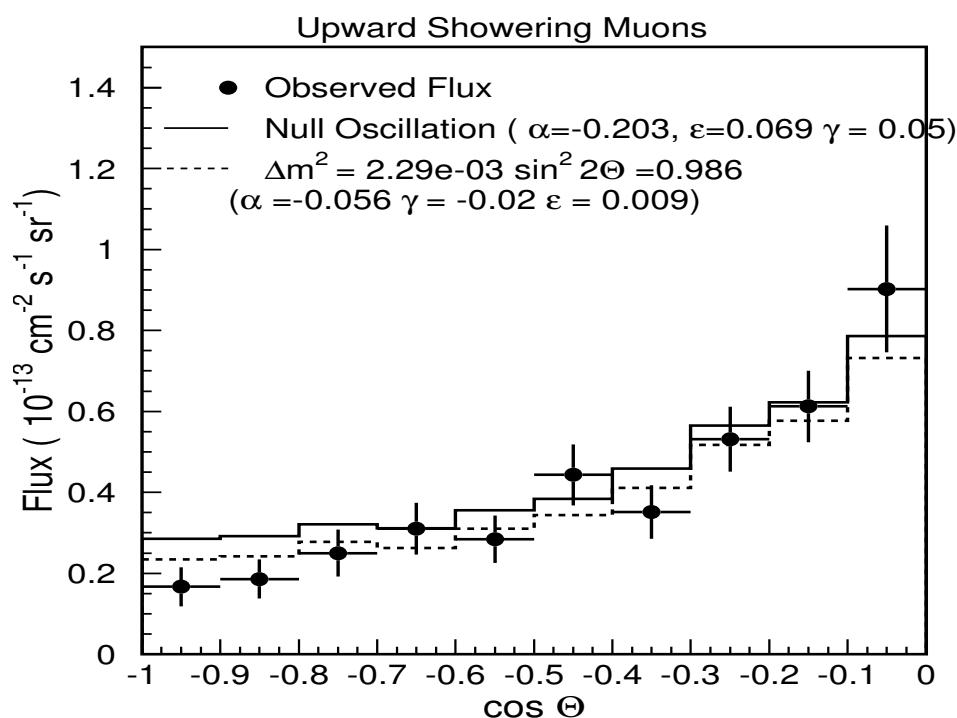
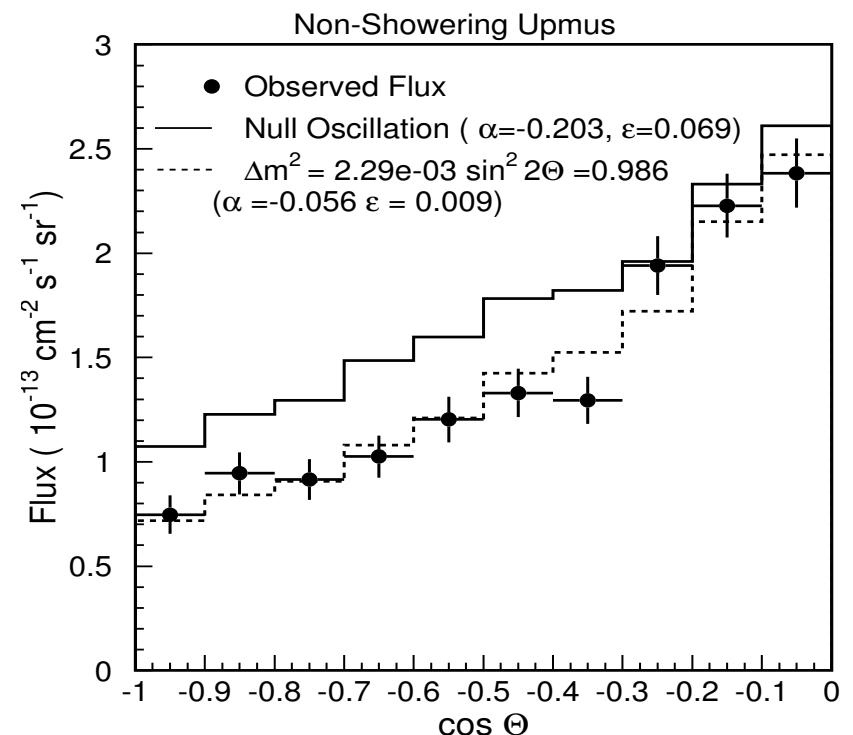
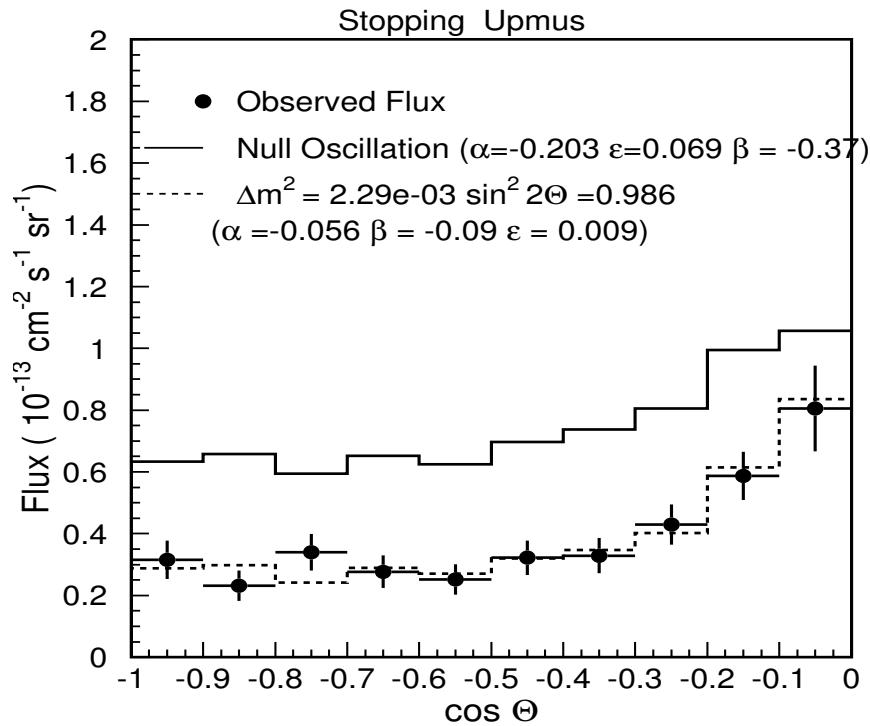
where  $\sigma_\alpha = 0.22$   $\sigma_\beta = 0.14$   $\sigma_\gamma = 0.08$

Null Oscillation :  $\chi^2 = 47.3$  for 30 dof

Best fit Oscillation :  $\chi^2 = 22$  for 28 dof



Combined flux of all 3 samples consistent with oscillations



# Overview of Astrophysical Searches Done with Upward Muons

Point Sources Searches (both known and unknown) ✗

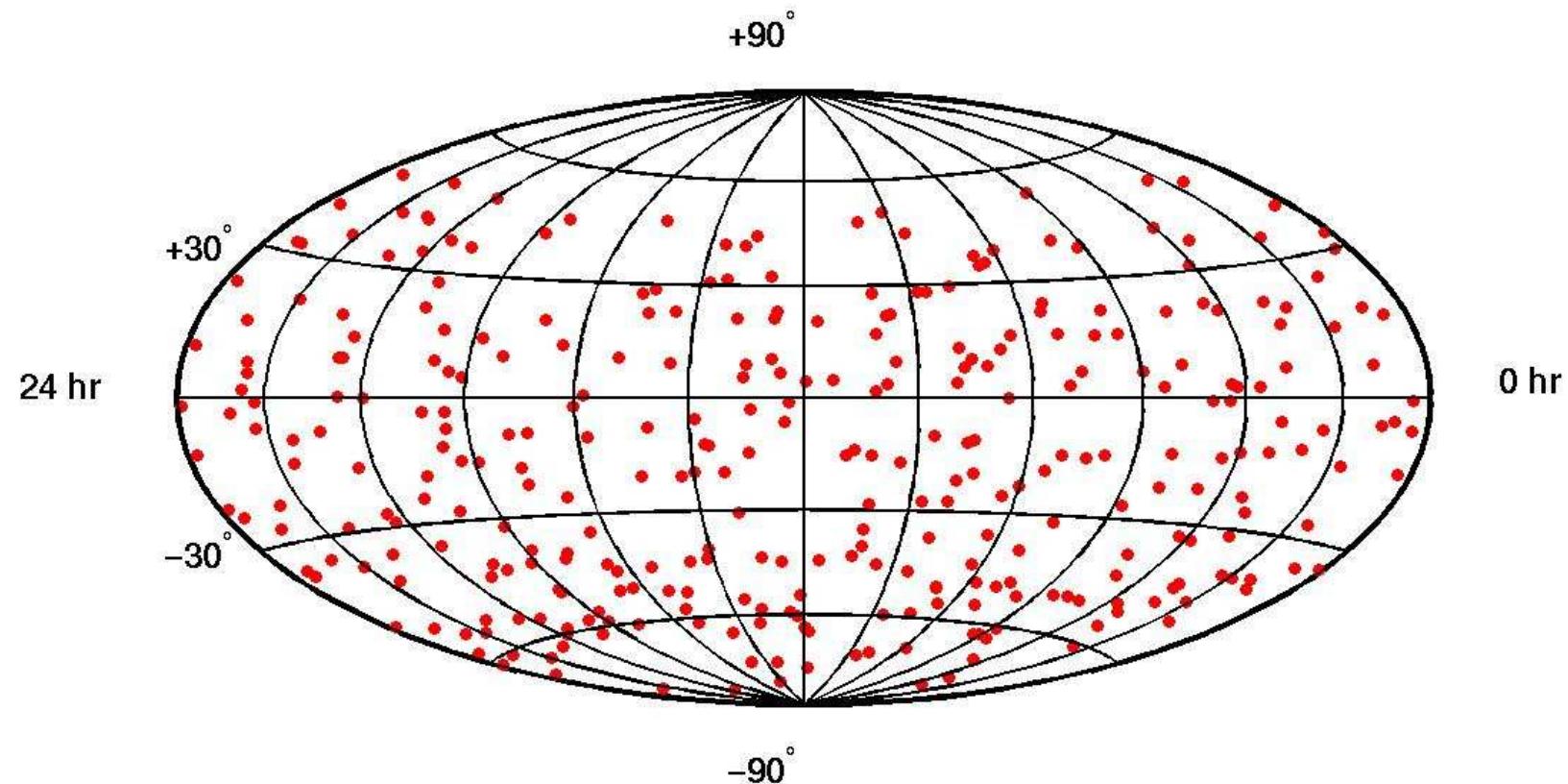
Space-time correlations with transient astrophysical sources such as GRBs and SGRs

Diffuse Flux from plane of Galaxy

WIMP searches from center of Earth, Sun , Galactic Center

Angular clustering and bootstrap techniques used to search for point sources in upward muon dataset (*Washburn, Clough*)

Upward showering muon sample suited for high energy astronomy because atmospheric neutrino background is reduced



Sky map distribution of upward showering muons

No evidence for point sources in showering upward muon dataset

Space-Time correlation studies with transient astrophysical sources like GRBs and SGRs

GRBs ( $\sim 1600$  bursts) : *astro-ph/0205304*

$\Delta t = \pm 1000$  sec  $\Delta\theta = 5$  deg.

Result : 1 event found.    Expected Background = 0.9

$\Delta t = \pm 1$  day  $\Delta\theta = 5$  deg and look for more than 1 upward muon  
No such events found

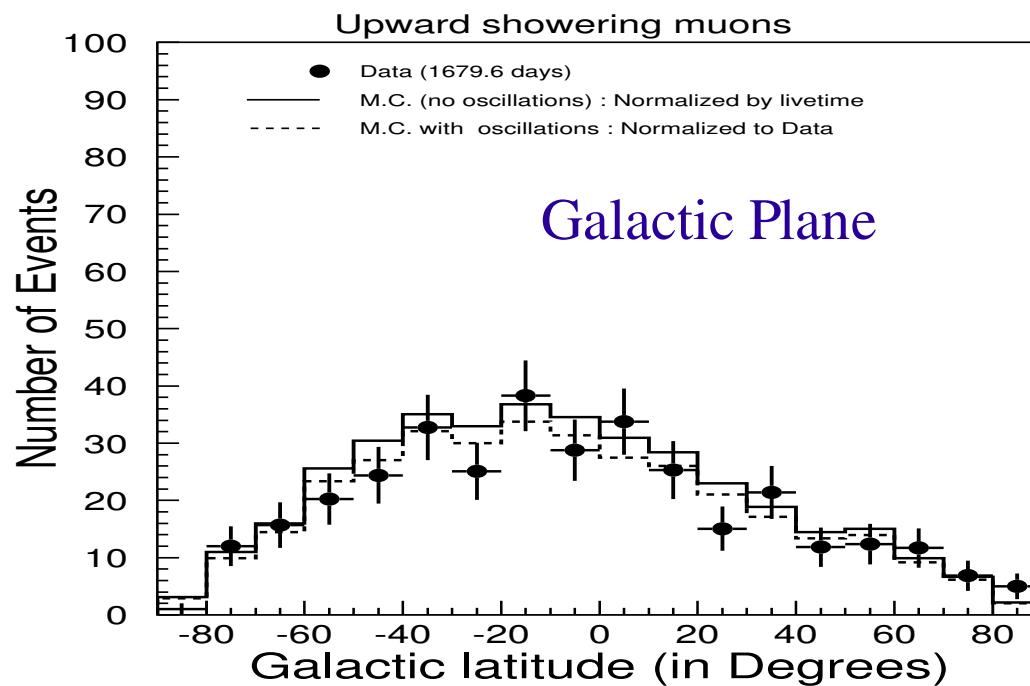
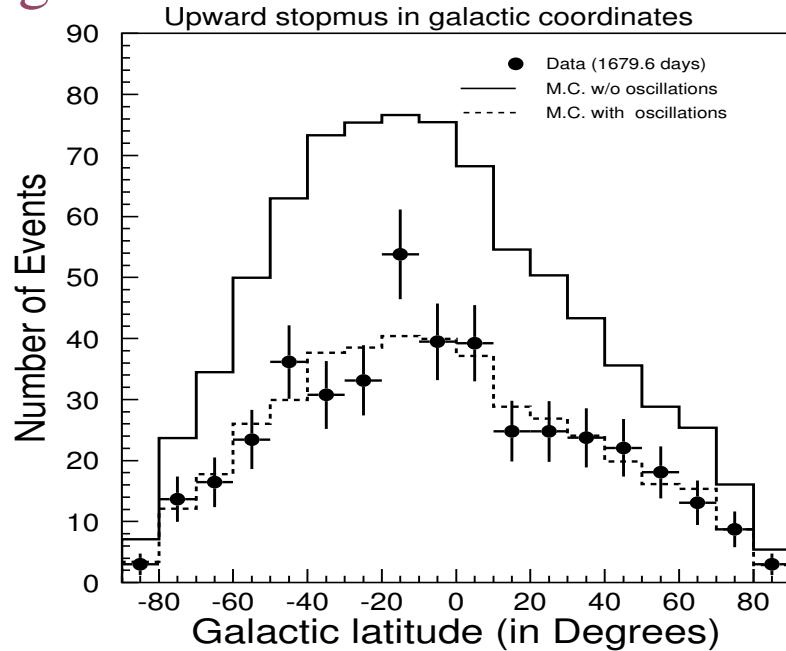
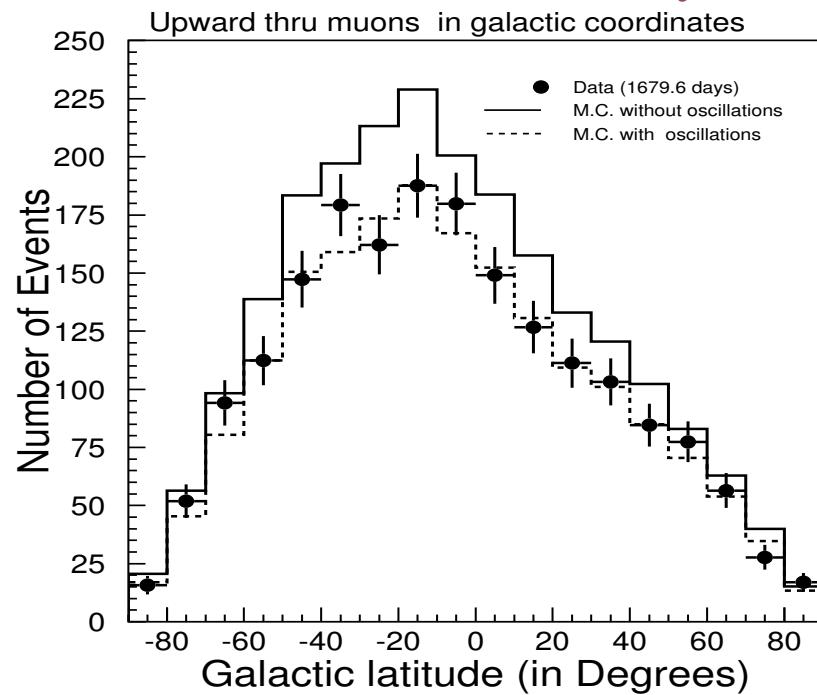
SGRs ( $\sim 150$  bursts):

$\Delta t = \pm 1$  day  $\Delta\theta = 5$  deg.

Result : 1 event found . Expected Background = 0.7

$\Rightarrow$  Expected coincidences with SGRs and GRBs consistent with background

# Look for $\nu$ from cosmic rays coming from ISM



# Matter/ Energy Density Budget of the Universe

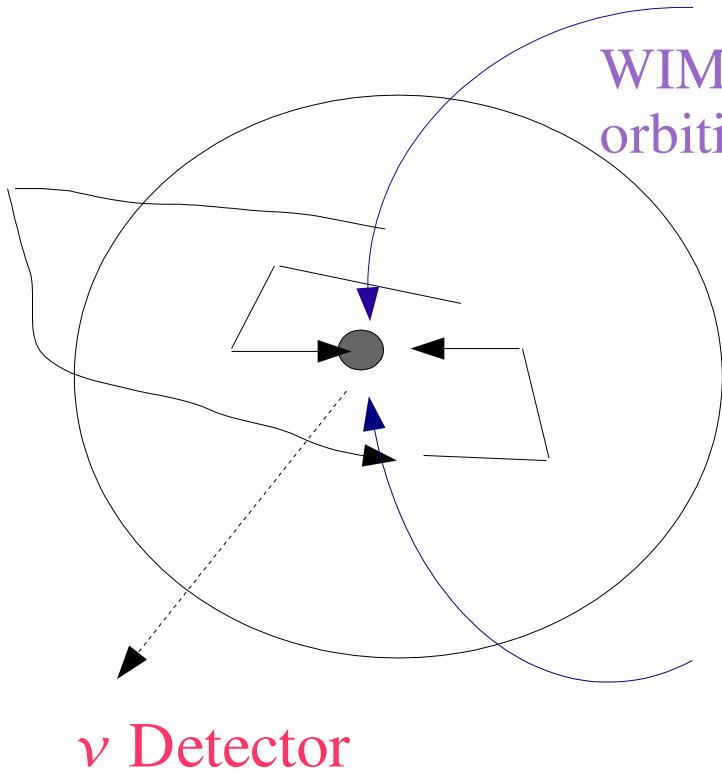


(WMAP Collaboration 2003)

One possibility for Cold Dark Matter are:  
Weakly Interacting Massive Particles (WIMPs) (*Weinberg & Lee 77*)

- Masses in the GeV to TeV energy range
- Annihilation cross-sections ~ Electroweak scale
- Exist in Supersymmetric theories beyond Standard Model

# INDIRECT WIMP SEARCHES



WIMPs  
orbiting galactic halo

WIMP speed ~ 220 km/sec  
WIMP Density ~ 0.3 GeV/cc

## Potential Sources of WIMP annihilation

Earth : Only sensitive to WIMPs with scalar interactions

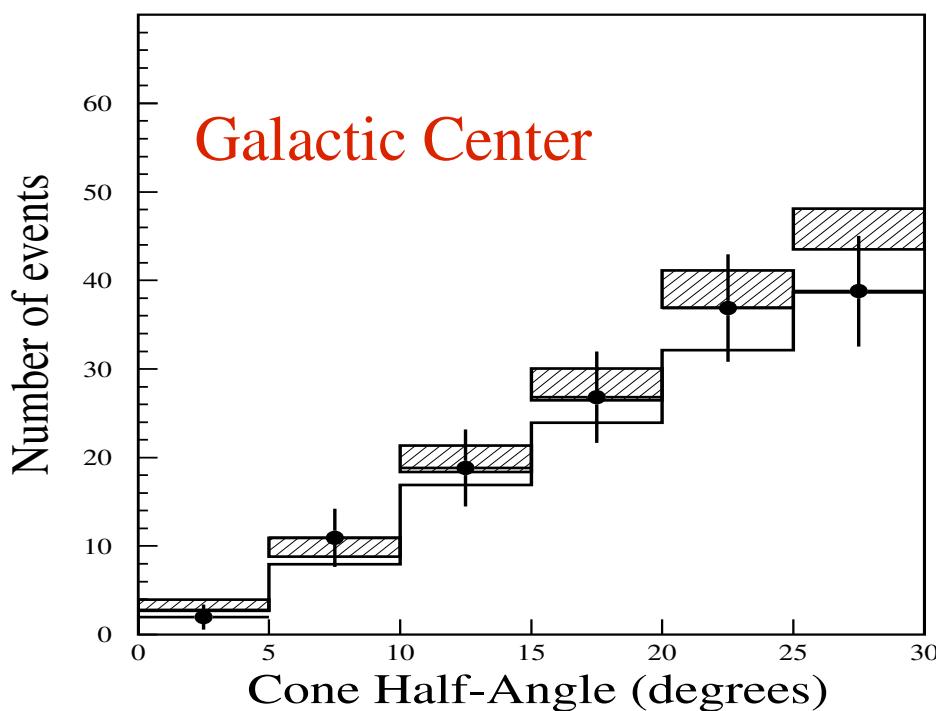
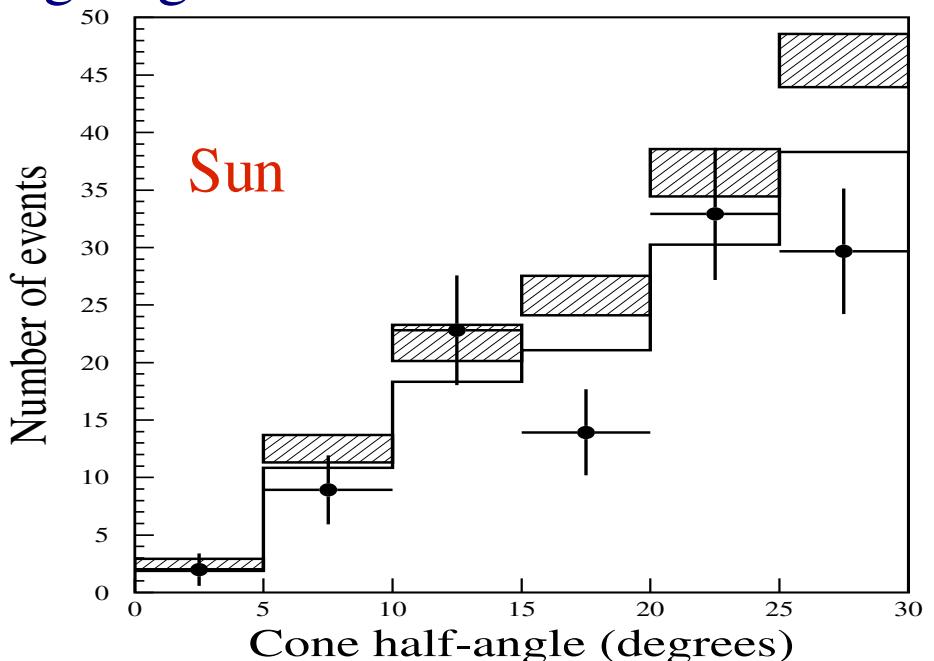
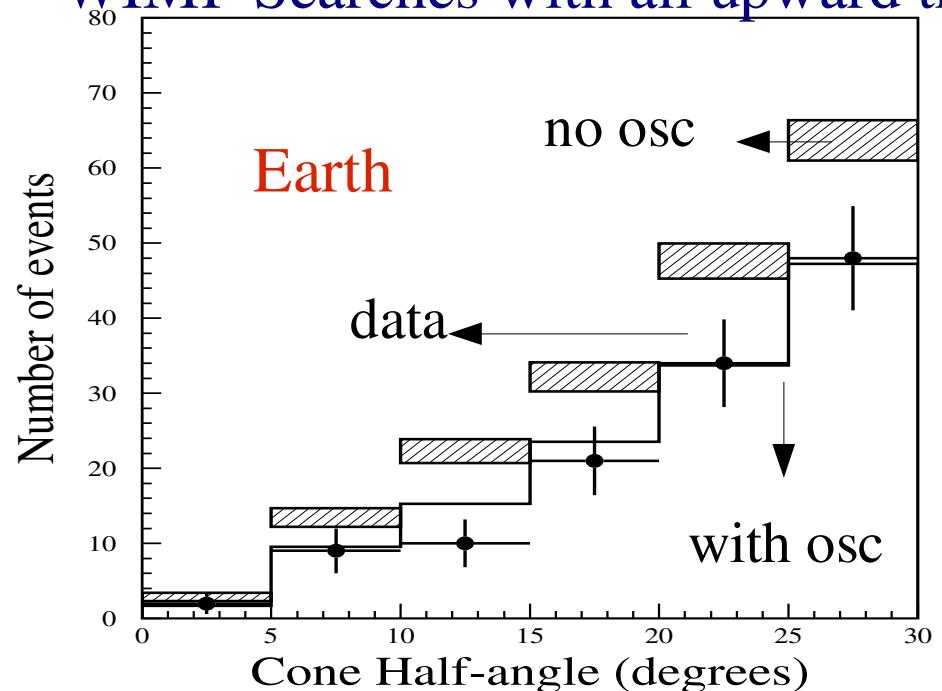
Sun : Mainly sensitive to WIMPs with spin interactions

Galactic Center : WIMP annihilation due to spike in density distribution near central black hole (*Gondolo & Silk 99*)

$$\chi + \chi \rightarrow c\bar{c}, b\bar{b}, H\bar{H}, ZZ, t\bar{t}, W^+ W^-, \tau^+ \tau^-$$

Neutrinos

# WIMP Searches with all upward thrugoint muons



No signatures  
of WIMP  
annihilation

# WIMP Search with Upward Showering Muons

Upward Showering muons sensitive to high mass WIMPs

Looked for excess in direction of Earth , Sun and Galactic Center

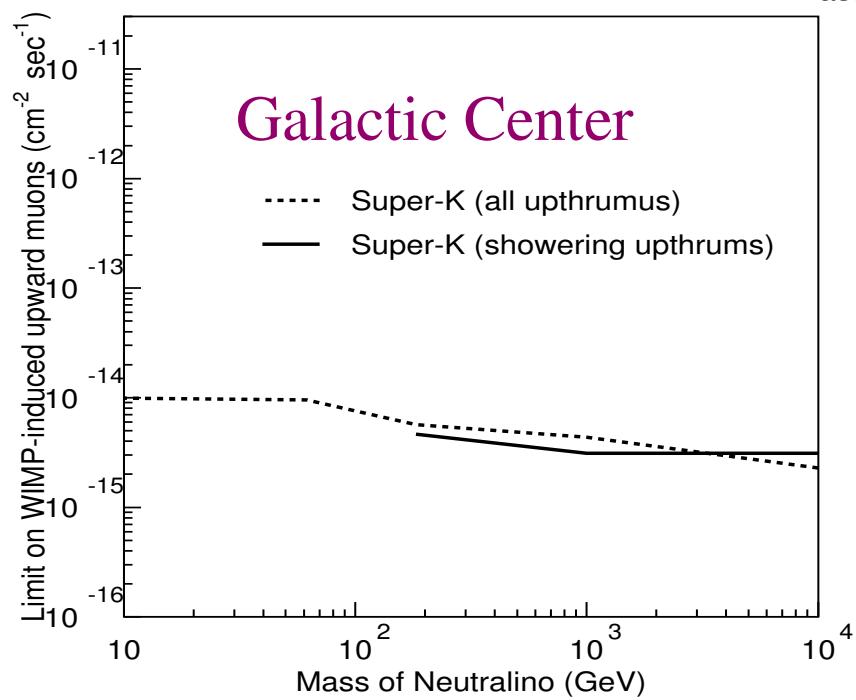
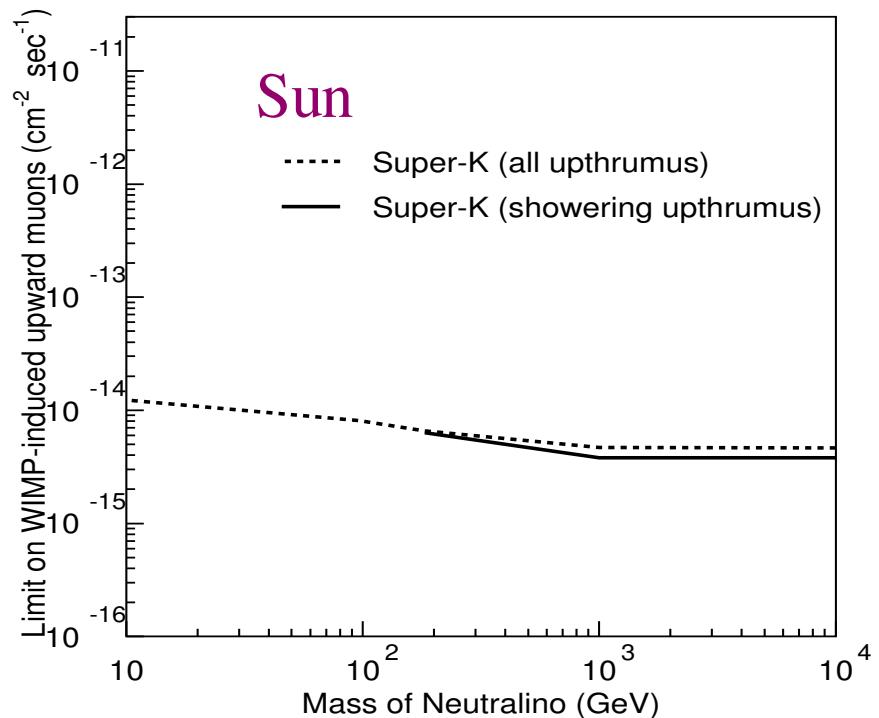
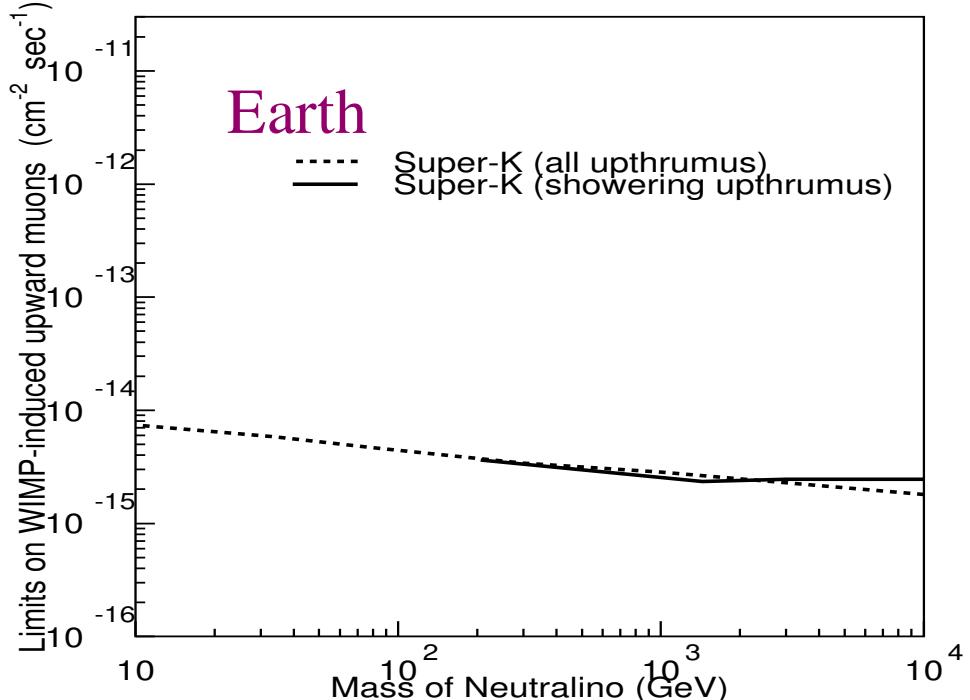
Earth		
Cone	Data	Atm v Bkgd
3°	0	0.10
5°	0	0.30
10°	2	2.30

Sun		
Cone	Data	Atm v Bgd
3°	0	0.30
5°	0	0.40
10°	2	2.10

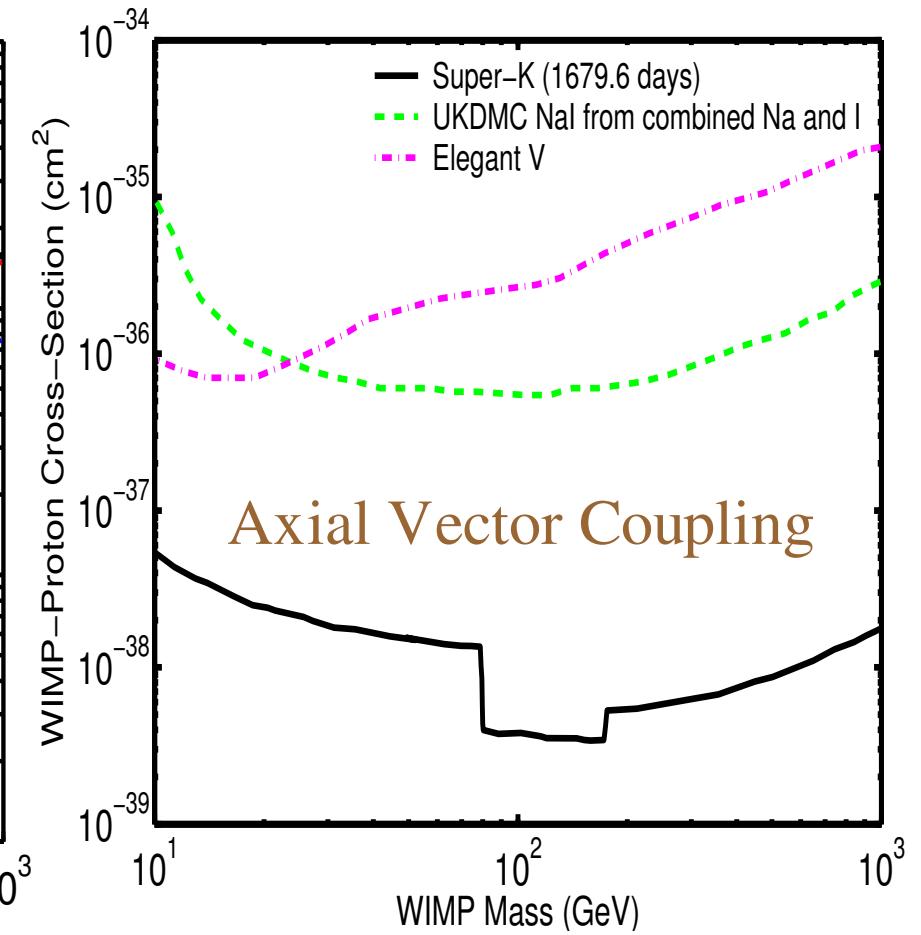
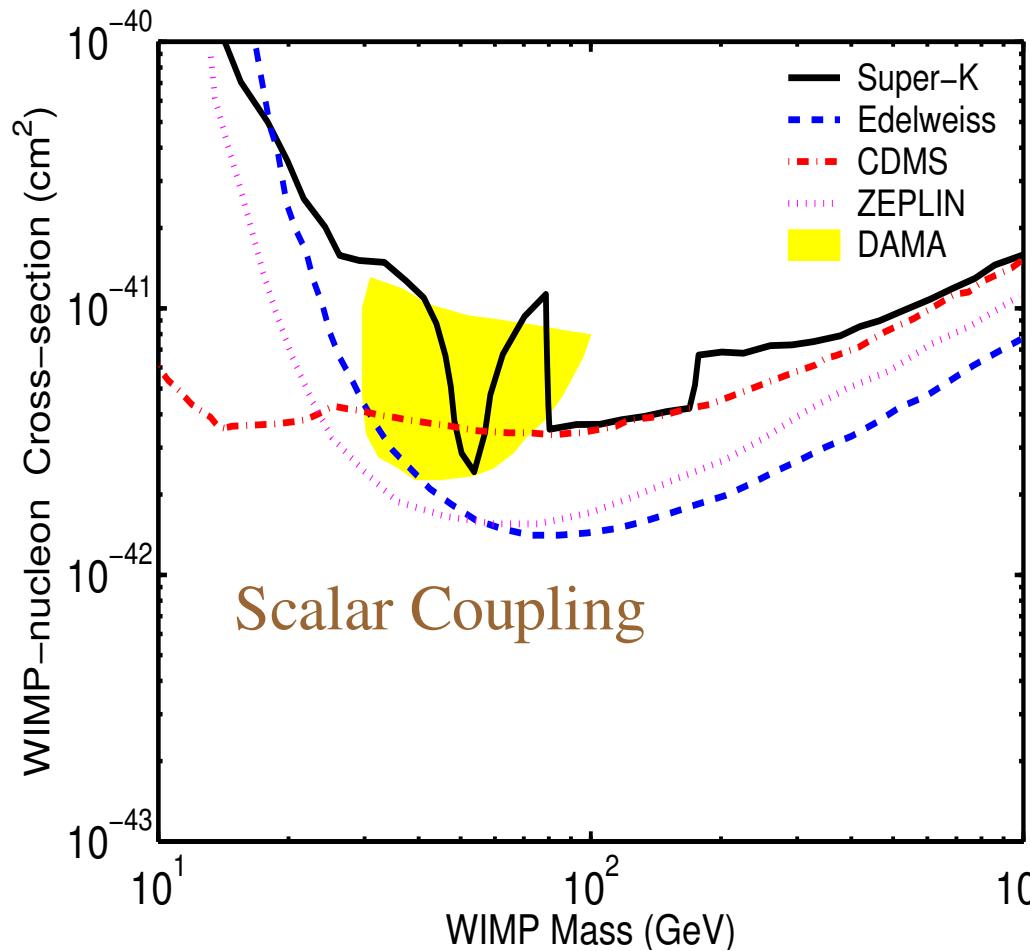
## Galactic Center

Cone	Data	Atm v Bgd
3°	0	0.50
5°	0	1.20
10°	2	3.30

No possible signatures of WIMP-induced upward showering muons from Earth, Sun or Galactic Center



Using the flux limits from the Sun and Earth and results from Kamionkowski et. al. (1994) allows to compare our results with direct detection WIMP searches using Si, Ge, NaI etc



We partially rule out the DAMA allowed region  
Our limits on WIMP-proton spin cross-section ~ 100 times  
more sensitive than direct detection experiments

# CONCLUSIONS

- A sample of upward through-going muons which lose energy through bremsstrahlung and other radiative processes has been isolated
- Mean energy of parent neutrinos of upward showering muons  $\sim 1$  TeV
- Zenith angle distribution of only upward showering muons consistent with null oscillations. However combination of all 3 datasets consistent with oscillations
- Tried all possible tricks to search for signatures of high energy GeV neutrinos from astrophysical sources. Unfortunately no sources found